

Complexity of shift spaces on semigroups

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Abstract

Let $G = \langle S | R_A \rangle$ be a semigroup with generating set S and equivalences R_A among S determined by a matrix A. This paper investigates the complexity of G-shift spaces by yielding the Petersen–Salama entropies [defined in Petersen and Salama (Theoret Comput Sci 743:64–71, 2018)]. After revealing the existence of Petersen–Salama entropy of G-shift of finite type (G-SFT), the calculation of Petersen–Salama entropy of G-SFT is equivalent to solving a system of nonlinear recurrence equations. The complete characterization of Petersen–Salama entropies of G-SFTs on two symbols is addressed, which extends (Ban and Chang in On the topological entropy of subshifts of finite type on free semigroups, 2018. arXiv:1702.04394) in which G is a free semigroup.

Keywords Complexity \cdot Entropy \cdot Nonlinear recurrence equation \cdot Non-free semigroups

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1 Introduction

Let \mathcal{A} be a finite alphabet and G be a group. A *configuration* is a function $f:G\to\mathcal{A}$ and a *pattern* is a function from a finite subset of G to \mathcal{A} . A subset $X\subseteq\mathcal{A}^G$ is called a *shift space* if $X=X_{\mathcal{F}}$ which is a set of configurations which avoid patterns from some set \mathcal{F} of patterns. If \mathcal{F} is finite, we call such a shift space *shift of finite type* (SFT). Let $n\in\mathbb{N}$ and denote by E_n the set of elements in G whose length is less than or equal to n. We define $\Gamma_n(X)$ the set of all possible patterns of X in E_n and set $\gamma_n=|\Gamma_n|$, i.e., the number of Γ_n . The *Petersen–Salama entropy* (*entropy* for short) of X is defined as

$$h(X) = \limsup_{n \to \infty} \frac{\ln \gamma_n}{|E_n|}.$$
 (1)

It is known that the value (1) measures the exponential growth rate of the number of admissible patterns. From the dynamics viewpoint, it is a measure of the randomness or complexity of a given physical or dynamical system (cf. [12,18,24,25,27]). From the information theory viewpoint, it measures how much information can be stored in the set of allowed sequences.

The value h(X) (we write it simply h) exists for $G = \mathbb{Z}^1$ under the classical subadditive argument, and its entropy formula and algebraic characterization are given by Lind [22,23]; that is, the nonzero entropies of \mathbb{Z}^1 -SFTs are exactly the nonnegative rational multiples of the logarithm of Perron numbers¹. Generally, if G is amenable (\mathbb{Z}^d is amenable), h exists due to the fact that G satisfies the Følner condition [13,17,21]. The characterization of the entropies for $G = \mathbb{Z}^d$, $d \ge 2$ is given by Hochman–Meyerovitch [19]; namely, the entropies of such G-SFTs are the set of *right recursively enumerable*² numbers. In [10], the authors found the recursive formula of h in \mathbb{Z}^2 -SFTs, and some explicit values of h can be computed therein. Finally, the quantity (1) can also be used to characterize the chaotic spatial behavior for \mathbb{Z}^2 lattice dynamical systems (cf. [8,9,14–16]).

For $G = FS_d$, the free semigroup with generators $S = \{s_1, \ldots, s_d\}$ (it is not amenable), the existence of the limit (1) is due to the recent result of Petersen–Salama [25]. Its entropy formula for d = 2 and $|\mathcal{A}| = 2$ is presented by Ban–Chang [6]. While a significant phenomenon of the Petersen–Salama entropy is that it is conjugacy invariant for F_1 -shifts (i.e., \mathbb{Z} -shifts), the invariance does not hold for F_d -shifts when $d \geq 2$. Notably, Petersen–Salama entropy coincides with classical topological entropy for F_1 -shifts and one-sided (\mathbb{Z}^+)-shifts, but not in other cases. A general entropy known as the *sofic entropy*, which is conjugacy invariant, is introduced by Bowen (cf. [11,20]). Despite the Petersen–Salama entropy is not conjugacy invariant, it still reflects the complexity of a F_d -shift. For $G = F_2$, the free group with two generators, Piantadosi [26] finds an approximation of $h \approx 0.909155$ for the F_2 -golden mean shift, i.e., the forbidden set $\mathcal{F} \subset \mathcal{A} \times S \times \mathcal{A}$ is defined by $\mathcal{F} = \{(2, s_i, 2) : i = 1, 2\}^3$ for $|\mathcal{A}| = 2$.

³ This means that the consecutive 22 is a forbidden pattern along the generators s_1 and s_2 .



¹ A *Perron number* is a real algebraic integer greater than 1 and greater than the modulus of its algebraic conjugates.

² The infimum of a monotonic recursive sequence of rational numbers.

Either the existence of the limit of (1) or to find the exact value of h for a F_d -SFT is an open problem. The specification properties or the chaotic behavior of FS_d -SFTs can be found in [3,4]

A *semigroup* is a set $G = \langle S|R \rangle$ together with a binary operation which is closed and associative, where R is a set of equivalences which describe the relations among S. A *monoid* is a semigroup with an identity element e. Suppose $A \in \{0,1\}^{d \times d}$ is a binary matrix. A semigroup/monoid G of the form $G = \langle S|R_A \rangle$ means that $s_is_j = s_i$ if and only if A(i,j) = 0. We note that $FS_d = \langle S|R_A \rangle$, where $S = \{s_1, \ldots, s_d\}$ and $A = \mathbf{E}_d$, the $d \times d$ matrix with all entries being 1's. The aim of this paper is to find the entropy formula of G-SFTs. Although the discussion works for general cases, we focus on the case where $d = |\mathcal{A}| = 2$ and $A = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$ for the clarity and compactness of the idea.

In Sect. 2, we demonstrate that γ_n solves some nonlinear recurrence equations with respect to the lattice G and the rules $T=(T_1,T_2)$ (Theorem 2.2). Various types of recurrence equations, namely the *zero entropy type* (type \mathbf{Z} , Proposition 3.1), equal growth type (type \mathbf{E} , Theorem 3.2), dominating type (type \mathbf{D} , Theorem 3.4 and Theorem 3.5) and oscillating types (type \mathbf{O} , Proposition 3.8), are introduced, and the algorithms of the entropy computation for these types are presented in Sect. 3. We give the complete characterization of h of G-SFTs with $|\mathcal{A}|=2$ (Theorem 4.1) in Sect. 4. That is, all the nonlinear recurrence equations of G-SFTs with $|\mathcal{A}|=2$ are equal to $\mathbf{Z} \cup \mathbf{E} \cup \mathbf{D} \cup \mathbf{O}$. Some open problems related to this topic are listed in Sect. 5.

2 Preliminaries

In this section, we give the notations and some known results of G-SFTs. Let \mathcal{A} be a finite set and $t \in \mathcal{A}^G$, for $g \in G$, $t_g = t(g)$ denotes the label attached to the vertex g of the right $Cayley\ graph^4$ of G. The $full\ shift\ \mathcal{A}^G$ collects all configurations, and the $shift\ map\ \sigma: G \times \mathcal{A}^G \to \mathcal{A}^G$ is defined as $(\sigma_g t)_{g'} = t_{gg'}$ for $g, g' \in G$. For $n \geq 0$, let $E_n = \{g \in G: |g| \leq n\}$ denote the initial n-subgraph of the Cayley graph. An n-block is a function $\tau: E_n \to \mathcal{A}$. A configuration t accepts an n-block τ if there exists $g \in G$ such that $t_{gg'} = \tau_{g'}$ for all $g' \in E_n$; otherwise, we call τ a $forbidden\ block$ of t (or t avoids τ). A G-shift space is a set $X \subseteq \mathcal{A}^G$ of all configurations which avoid a set of forbidden blocks. For $n \in \mathbb{N}$ and $g \in G$, let $\Gamma_n^{[g]}(X)$ be the set of n-blocks of X rooted at g, i.e., the support of each block of $\Gamma_n^{[g]}(X)$ is gE_n . Let $\gamma_n^{[g]} = \left|\Gamma_n^{[g]}(X)\right|$, the cardinality of $\Gamma_n^{[g]}(X)$, and denote $\gamma_n(X) = \gamma_n^{[e]}(X)$. The $topological\ degree$ of X is defined as

$$\deg(X) = \limsup_{n \to \infty} \frac{\ln \ln \gamma_n(X)}{n}.$$
 (2)

⁴ The *right Cayley graph* of *G* with respect to *S* is the directed graph whose vertex set is *G* and its set of arcs is given by $E = \{(g, gs) : g \in G, s \in S\}$.



In [2], the authors show that the limit of (2) exists for G-SFTs, where $G = \langle S|R_A\rangle$ and $A = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$. The relation of deg(X) and h is described as follows. For a G-SFT, γ_n behaves approximately like $\lambda_1 \lambda_2^{\kappa^n}$ for some $\kappa, \lambda_1, \lambda_2 \in \mathbb{R}$ while $\deg(X) = \ln \kappa$. The formulation $\lambda_1 \lambda_2^{\kappa^n}$ reveals that we could use λ_2 colors (in average) to fill up the elements of E_n in G. The deg(X) represents the logarithm of the degree κ (in average) of G in the viewpoint of the graph theory. For examples, let $A = \{1, 2\}$ and X be a \mathbb{Z}^1 - full shift over \mathcal{A} , then $\gamma_n = 2^n$ while $|E_n| = n$ and $\deg(X) = 0$. If X is a FS_3 -full shift with \mathcal{A} , then $\gamma_n = 2^{3^n}$ while $|E_n| = 3^n$ and $\deg(X) = \ln 3$. Thus, the formulation $\lambda_1 \lambda_2^{\kappa^n}$ can also be symbolized as $\gamma_n \approx |\mathcal{A}|^{|E_n|}$. It can be easily checked that if $deg(X) = \ln \rho_A$, where ρ_A denotes the spectral radius of the matrix A, then $h = \ln \lambda_2$. However, it is not always the case we prove that $\{\kappa(X_{\mathcal{F}}) : X_{\mathcal{F}} \text{ is a } FS_d$ SFT} = $\{\xi^{\frac{1}{p}} : \xi \in \mathcal{P}, p \ge 1\}$ [5] for FS_d -SFTs, where \mathcal{P} is the set of Perron numbers. Suppose $G = \langle S | R_A \rangle$ has at least one right free generator⁵, and Ban-Chang-Huang [7] provide the necessary and sufficient conditions for $\kappa = \rho_A$. Roughly speaking, the more information of the tuple $(\kappa, \lambda_1, \lambda_2) \in \mathbb{R}^3$ we know, the more explicit value of γ_n we obtain. A natural question arises: How to compute the value λ_2 ? If $G = FS_2$, i.e., $A = \mathbf{E}_2$, the authors give the complete characterization of the entropies for $|\mathcal{A}| = 2$

2.1 The existence of the entropy

From now on, we assume that $G = \langle S|R_A \rangle$, where $S = \{s_1, s_2\}$, $A = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$ and $\mathcal{A} = \{1, 2\}$. Theorem 2.1 shows that the limit (1) exists for G-shifts. The proof is based on the concept of the proof for FS_2 -shifts [25]. Since the proof for G-shifts is not straightforward, we include the proof for the convenience of the reader.

Theorem 2.1 Let X be a G-shift. The entropy (1) exists.

Proof Let $L_n = \{g \in G : |g| = n\}$ and $l_n = |L_n|$ for $n \ge 0$. Set

[1]. This study is to extend the previous work to $A = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$.

$$\underline{h} = \liminf_{n \to \infty} \frac{\ln \gamma_n}{|E_n|} = \liminf_{n \to \infty} \frac{\ln \gamma_n}{l_0 + l_1 + \dots + l_n}.$$

Since $l_0 = 1$, for $\varepsilon > 0$, there exists a large $m \in \mathbb{N}$ such that

$$\frac{\ln \gamma_m}{l_1 + \dots + l_m} < \underline{h} + \varepsilon.$$

Write n = pm + q where $0 \le q \le m - 1$, then we have

$$\gamma_{pm+q} = \gamma_{[(p-1)m+q]+m} \leq \gamma_{(p-1)m+q} \gamma_m^{l_{(p-1)m+q}}$$

⁵ s_i is a right (resp. left) free generator if and only if A(i, j) = 1 (resp. A(j, i) = 1) for $1 \le j \le d$.



$$\leq (\gamma_{(p-2)m+q} \gamma_m^{l_{(p-2)m+q}}) \gamma_m^{l_{(p-1)m+q}}$$

$$\vdots$$

$$\leq \gamma_q \gamma_m^{l_q + l_{m+q} + \dots + l_{(p-1)m+q}}.$$

On the other hand, we have

$$\begin{pmatrix} l_{n+1} \\ l_n \end{pmatrix} = A \begin{pmatrix} l_n \\ l_{n-1} \end{pmatrix}.$$

It can be easily checked that $l_{m+n}=l_ml_{n+1}+l_{m-1}l_n$. Thus, we have $l_{m+n}>l_ml_{n+1}>l_ml_n$ and

$$\begin{aligned} l_{q+1} + l_{q+2} + \dots + l_{q+pm} &> l_q(l_1 + \dots + l_m) + l_{q+m}(l_1 + \dots + l_m) \\ &+ \dots + l_{q+(p-1)m}(l_1 + \dots + l_m) \\ &= (l_1 + \dots + l_m)(l_q + l_{q+m} + \dots + l_{q+(p-1)m}). \end{aligned}$$

Therefore, for n large enough we have

$$\begin{split} & \underline{h} \leq \frac{\ln \gamma_n}{|E_n|} = \frac{\ln \gamma_{pm+q}}{\left|E_{pm+q}\right|} \\ & \leq \frac{\ln \gamma_q}{\left|E_{pm+q}\right|} + \frac{l_q + l_{q+m} + \dots + l_{q+(p-1)m}}{l_1 + l_2 + \dots + l_{q+pm}} \ln \gamma_m \\ & \leq \frac{\ln \gamma_q}{\left|E_{pm+q}\right|} + \frac{l_q + l_{q+m} + \dots + l_{q+(p-1)m}}{l_{q+1} + \dots + l_{q+pm}} \ln \gamma_m \\ & \leq \frac{\ln \gamma_q}{\left|E_{pm+q}\right|} + \frac{\ln \gamma_m}{l_1 + \dots + l_m} < \underline{h} + 2\varepsilon. \end{split}$$

Thus, we conclude that (1) exists and equals h. This completes the proof.

2.2 Nonlinear recurrence equations

Let $\mathcal{F} \subseteq \mathcal{A} \times S \times \mathcal{A}$ be a set of forbidden blocks and $X = X_{\mathcal{F}}$ be the corresponding G-SFT. The associated *adjacency matrices* $T_1 = (t_{ij}^1)_{i,j=1}^2$ and $T_2 = (t_{ij}^2)_{i,j=1}^2$ of \mathcal{F} are defined as follows. For $i = 1, 2, T_i(a, b) = 0$ if $(a, s_i, b) \in \mathcal{F}$ and $T_i(a, b) = 1$, otherwise. Let $T = (T_1, T_2)$, the G-vertex shift X_T is defined.

$$X_T = \{ t \in \mathcal{A}^G : T_i(t_g, t_{gs_i}) = 1 \ \forall g, gs_i \in G \}.$$
 (3)

It is obvious that X_T is equal to X and thus $h(X) = h(X_T)$. Throughout the paper, we assume that T_i has no zero rows for i = 1, 2.

Fix $i \in \mathcal{A}$, we set $\Gamma_{i,n}^{[g]}$ the set which consists of all n-blocks τ in $\Gamma_n^{[g]}$ such that $\tau_g = i$ and $\gamma_{i,n}^{[g]} = \left| \Gamma_{i,n}^{[g]} \right|$, the cardinality of $\Gamma_{i,n}^{[g]}$. For $g \in G$, we define $\mathbf{F}_g = \{g' \in G\}$



 $G: gg' \in G$ and |gg'| = |g| + |g'|. If $g = g_1g_2 \dots g_n \in G$, it is easily seen that $\mathbf{F}_g = G$ if $g_n = s_1$ and $\mathbf{F}_g = \mathbf{F}_{s_2}$ if $g_n = s_2$, note that $\mathbf{F}_{s_2} \neq G$. Thus,

$$\gamma_{i,n}^{[s_1]} = \sum_{j_1, j_2=1}^{2} t_{ij_1}^1 t_{ij_2}^2 \gamma_{j_1, n-1}^{[s_1 s_1]} \gamma_{j_2, n-1}^{[s_1 s_2]} = \sum_{j_1, j_2=1}^{2} t_{ij_1}^1 t_{ij_2}^2 \gamma_{j_1, n-1}^{[s_1]} \gamma_{j_2, n-1}^{[s_2]}, \tag{4}$$

$$\gamma_{i,n}^{[s_2]} = \sum_{j=1}^{2} t_{ij}^1 \gamma_{j,n-1}^{[s_2s_1]} = \sum_{j=1}^{2} t_{ij}^1 \gamma_{j,n-1}^{[s_1]}, 1 \le i \le 2 \text{ and } n \ge 3.$$
 (5)

The first equality in (4) means that the $\Gamma_{i,n}^{[s_1]}$ is generated by $\Gamma_{j_1,n-1}^{[s_1s_1]}$ (resp. $\Gamma_{j_2,n-1}^{[s_1s_2]}$) according to the rule of $t_{ij_1}^1$ (resp. $t_{ij_2}^2$) of T_1 (resp. T_2). Since $\Gamma_{j_1,n-1}^{[s_1s_1]}$ and $\Gamma_{j_2,n-1}^{[s_1s_2]}$ are in different branches, the summation $\sum_{j_1,j_2=1}^2 t_{ij_1}^1 t_{ij_2}^2 \gamma_{j_1,n-1}^{[s_1s_1]} \gamma_{j_2,n-1}^{[s_1s_2]}$ demonstrates the cardinality of $\Gamma_{i,n}^{[s_1]}$. The second equality in (4) comes from the fact that $\mathbf{F}_{s_1s_1} = \mathbf{F}_{s_1}$ and $\mathbf{F}_{s_1s_2} = \mathbf{F}_{s_2}$. Similar argument derives (5), and the only difference is that we do not have the item $\gamma_{j,n-1}^{[s_2s_2]}$ in the summation since A(2,2)=0, i.e., $s_2s_2=s_2$. Since equations (4) and (5) are the nonlinear recurrence equations which describe the numbers $\gamma_{i,n}^{[s_1]}$ and $\gamma_{i,n}^{[s_2]}$ for i=1,2, we continue to write $\{\gamma_{i,n}^{[s_1]}, \gamma_{i,n}^{[s_2]}\}_{i=1}^2$ to represent nonlinear recurrence equations (4) and (5) by abuse of notation.

numbers $\gamma_{i,n}^{[s_1]}$ and $\gamma_{i,n}^{[s_2]}$ for i=1,2, we continue to write $\{\gamma_{i,n}^{[s_1]},\gamma_{i,n}^{[s_2]}\}_{i=1}^2$ to represent nonlinear recurrence equations (4) and (5) by abuse of notation.

The nonlinear recurrence equation $\{\gamma_{i,n}^{[s_1]},\gamma_{i,n}^{[s_2]}\}_{i=1}^2$ can be described in an efficient way. Let \mathcal{M}_m be the collection of $m\times m$ binary matrices. Let $v=(v_i)_{i=1}^n$ and $w=(w_i)_{i=1}^n$ be two vectors over \mathbb{R} . Denote by \otimes the *dyadic product* of v and w; that is,

$$v \otimes w = (v_1 w_1, v_1 w_2, \dots, v_1 w_n, \dots, v_n w_1, v_n w_2, \dots, v_n w_n).$$

Let $M \in \mathcal{M}_m$ and $M^{(i)}$ denote the *i*th row of M. Define

$$\alpha_i = T_1^{(i)} \otimes T_2^{(i)} \text{ for } i = 1, 2$$
 (6)

and

$$\Theta_n^{[s_j]} = \begin{cases} (\gamma_{1,n}^{[s_1]}, \gamma_{2,n}^{[s_1]}) \otimes (\gamma_{1,n}^{[s_2]}, \gamma_{2,n}^{[s_2]}), & \text{if } j = 1; \\ (\gamma_{1,n}^{[s_1]}, \gamma_{2,n}^{[s_1]}) \otimes (1, 1), & \text{if } j = 2. \end{cases}$$
(7)

Let "." be the usual inner product, we define

$$\widehat{\gamma}_{i,n}^{[s_j]} = \alpha_i \cdot \Theta_{n-1}^{[s_j]} \text{ for } 1 \le i, j \le 2.$$

Theorem 2.2 Let $T = (T_1, T_2) \in \mathcal{M}_2 \times \mathcal{M}_2$, formulas (4) and (5) can be reformulated as follows. For i = 1, 2,

(1)
$$\gamma_{i,n}^{[s_1]} = \widehat{\gamma}_{i,n}^{[s_1]}$$
,



(2) $\gamma_{i,n}^{[s_2]}$ is derived from $\widehat{\gamma}_{i,n}^{[s_2]}$ by letting all coefficients of the items $\gamma_{1,n-1}^{[s_1]}$ and $\gamma_{2,n-1}^{[s_1]}$ in $\widehat{\gamma}_{i,n}^{[s_2]}$ to be 1.

Proof It follows from (4)

$$\begin{split} \gamma_{i,n}^{[s_1]} &= \sum_{j_1,j_2=1}^2 t_{ij_1}^1 t_{ij_2}^2 \gamma_{j_1,n-1}^{[s_1s_1]} \gamma_{j_2,n-1}^{[s_1s_2]} \\ &= \left(\sum_{j_1=1}^2 t_{ij_1}^1 \gamma_{j_1,n-1}^{[s_1s_1]} \right) \left(\sum_{j_2=1}^2 t_{ij_2}^2 \gamma_{j_2,n-1}^{[s_1s_2]} \right). \end{split}$$

Since $\gamma_{j_1,n-1}^{[s_1s_1]} = \gamma_{j_1,n-1}^{[s_1]}$ and $\gamma_{j_1,n-1}^{[s_1s_2]} = \gamma_{j_1,n-1}^{[s_2]}$, we conclude that

$$\begin{split} \gamma_{i,n}^{[s_1]} &= \left[\left(t_{i1}^1, t_{i2}^1 \right) \otimes \left(t_{i1}^2, t_{i2}^2 \right) \right] \cdot \left[\left(\gamma_{1,n-1}^{[s_1]}, \gamma_{2,n-1}^{[s_1]} \right) \otimes \left(\gamma_{1,n-1}^{[s_2]}, \gamma_{2,n-1}^{[s_2]} \right) \right] \\ &= \alpha_i \cdot \Theta_{n-1}^{[s_j]} = \widehat{\gamma}_{i,n}^{[s_1]}. \end{split}$$

Thus, $\gamma_{i,n}^{[s_1]} = \widehat{\gamma}_{i,n}^{[s_1]}$. On the other hand, it follows from (8) that we have

$$\begin{split} \widehat{\gamma}_{i,n}^{[s_2]} &= \alpha_i \cdot \Theta_{n-1}^{[s_2]} \\ &= \left(t_{i1}^1 t_{i1}^2, t_{i1}^1 t_{i2}^2, t_{i2}^1 t_{i1}^2, t_{i2}^1 t_{i2}^2 \right) \cdot \left[(\gamma_{1,n-1}^{[s_1]}, \gamma_{2,n-1}^{[s_1]}) \otimes (1, 1) \right] \\ &= \left(t_{i1}^1 t_{i1}^2, t_{i1}^1 t_{i2}^2, t_{i2}^1 t_{i1}^2, t_{i2}^1 t_{i2}^2 \right) \cdot \left(\gamma_{1,n-1}^{[s_1]}, \gamma_{1,n-1}^{[s_1]}, \gamma_{2,n-1}^{[s_1]}, \gamma_{2,n-1}^{[s_1]} \right) \\ &= t_{i1}^1 t_{i1}^2 \gamma_{1,n-1}^{[s_1]} + t_{i1}^1 t_{i2}^2 \gamma_{1,n-1}^{[s_1]} + t_{i2}^1 t_{i1}^2 \gamma_{2,n-1}^{[s_1]} + t_{i2}^1 t_{i2}^2 \gamma_{2,n-1}^{[s_1]}. \end{split} \tag{9}$$

Suppose that there is no restriction on the node s_2 in G, and the same reasoning as $\gamma_{i,n}^{[s_1]}$ applied to $\gamma_{i,n}^{[s_2]}$ implies

$$\gamma_{i,n}^{[s_2]} = t_{i1}^1 t_{i1}^2 \gamma_{1,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]} + t_{i1}^1 t_{i2}^2 \gamma_{1,n-1}^{[s_1]} \gamma_{2,n-1}^{[s_2]}
+ t_{i2}^1 t_{i1}^2 \gamma_{2,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]} + t_{i2}^1 t_{i2}^2 \gamma_{2,n-1}^{[s_1]} \gamma_{2,n-1}^{[s_2]}.$$
(10)

Since $s_2s_2=s_2$, formula (9) is derived from (10) by letting $\gamma_{i,n-1}^{[s_2]}=1$ for i=1,2. However, compared to formula (5) $\gamma_{i,n}^{[s_2]}=\sum_{j=1}^2 t_{ij}^1 \gamma_{j,n-1}^{[s_1]}$; formula (9) counts $\gamma_{i,n}^{[s_2]}$ repeatedly, e.g., if $t_{i1}^1 t_{i1}^2=t_{i1}^1 t_{i2}^2=1$, then (9) counts the item $\gamma_{1,n-1}^{[s_1]}$ twice. Thus, $\gamma_{i,n}^{[s_2]}$ can be derived from $\widehat{\gamma}_{i,n}^{[s_2]}$ by letting all coefficients of the terms $\gamma_{1,n-1}^{[s_1]}$ and $\gamma_{2,n-1}^{[s_1]}$ in $\widehat{\gamma}_{i,n}^{[s_j]}$ to be 1. This completes the proof.

It is worth noting that the intrinsic meaning of (8) is that the effect of T (rules) comes from the factor α_i (since $\alpha_i = \alpha_i(T)$) and the effect of G (lattice) comes from the factor $\Theta_{n-1}^{[s_j]}$.



Example 2.3 Let $T=(T_1,T_2)$, where $T_i=\begin{pmatrix}1&1\\1&0\end{pmatrix}$ for i=1,2. Then, we have $\alpha_1=(1,1,1,1)$ and $\alpha_2=(1,0,0,0)$. Applying Theorem 2.2, we have $\gamma_{1,n}^{[s_1]}=\sum_{i,j=1}^2\gamma_{i,n-1}^{[s_1]}\gamma_{j,n-1}^{[s_2]}$ and $\gamma_{2,n}^{[s_1]}=\gamma_{1,n-1}^{[s_1]}\gamma_{1,n-1}^{[s_2]}$. On the other hand, it follows from $\widehat{\gamma}_{1,n}^{[s_2]}=2\gamma_{1,n-1}^{[s_1]}+2\gamma_{2,n-1}^{[s_1]}$ and $\widehat{\gamma}_{2,n}^{[s_2]}=\gamma_{1,n-1}^{[s_1]}$ that we have $\gamma_{1,n}^{[s_2]}=\gamma_{1,n-1}^{[s_1]}+\gamma_{2,n-1}^{[s_1]}$ and $\gamma_{2,n}^{[s_2]}=\gamma_{1,n-1}^{[s_1]}$.

Since $T = (T_1, T_2) \in \mathcal{M}_2 \times \mathcal{M}_2$, there are only finite possibilities of $T_i^{(1)}$ and $T_i^{(2)}$, namely

$$\{\varpi_1, \varpi_2, \varpi_3, \varpi_4\} = \{(1, 1), (1, 0), (0, 1), (0, 0)\}.$$

The above vectors correspond to 2×2 matrices which represent the possible directed graphs having two vertices. Hence, we have only finite choices of α_i [recall (6)] for i = 1, 2 as follows:

$$v_1 = (1, 1, 1, 1), v_2 = (1, 0, 1, 0), v_3 = (0, 1, 0, 1), v_4 = (1, 1, 0, 0),$$

 $v_5 = (0, 0, 1, 1), v_6 = (1, 0, 0, 0), v_7 = (0, 1, 0, 0), v_8 = (0, 0, 1, 0),$
 $v_9 = (0, 0, 0, 1).$

The collection of vectors $\{v_i\}_{i=1}^9$ comes from the Kronecker product $\varpi_i \otimes \varpi_j$ for $1 \le i, j \le 3$. We reorganize the vectors in the above manner so that we can process a systematic analysis for the different types of shifts represented by these vectors (see Sect. 3). For the convenience of the discussion, we define $F_{kl} = \{\gamma_{i,n}^{[s_1]}, \gamma_{i,n}^{[s_2]}\}_{i=1}^2$ the nonlinear recurrence equation of Theorem 2.2 by choosing $(\alpha_1, \alpha_2) = (v_k, v_l)$, and $h = h_{kl}$ if the corresponding recurrence equation is F_{kl} .

Remark 2.4 (1) Given $(\alpha_1, \alpha_2) = (v_k, v_l)$, the pair (T_1, T_2) is also uniquely determined. For instance, if $(\alpha_1, \alpha_2) = (v_2, v_3)$, then $T_1^{(1)} = (1, 1)$, $T_2^{(1)} = (1, 0)$, $T_1^{(2)} = (1, 1)$ and $T_1^{(2)} = (0, 1)$. Thus, one can reconstruct $T = (T_1, T_2)$ as

$$T_1 = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \text{ and } T_1 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}. \tag{11}$$

(2) Note that $\mathbf{F}_g = G$ if $g_n = s_1$. The entropy (1) can also be represented as

$$h = \lim_{n \to \infty} \frac{\ln \gamma_n}{|E_n|} = \lim_{n \to \infty} \frac{\ln \left(\gamma_{1,n}^{[s_1]} + \gamma_{2,n}^{[s_1]} \right)}{|E_n|},\tag{12}$$

where the existence of the limit is due to Theorem 2.1.



2.3 Equivalence of the recurrence equations

Given two nonlinear recurrence equations F_{kl} and F_{pq} , we say that F_{kl} is *equivalent* to F_{pq} (write $F_{kl} \simeq F_{pq}$) if F_{kl} is equal to F_{pq} by interchanging items $\gamma_{1,n}^{[s_1]}$ with $\gamma_{2,n}^{[s_1]}$ and $\gamma_{1,n}^{[s_2]}$ with $\gamma_{2,n}^{[s_2]}$. It follows from (12) that the entropies of two G-SFTs are equal if their corresponding nonlinear recurrence equations are equivalent.

Example 2.5 $F_{48} \simeq F_{75}$.

Proof It follows from Theorem 2.2 that we obtain

$$F_{48} = \begin{cases} \gamma_{1,n}^{[s_1]} = \gamma_{1,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]} + \gamma_{1,n-1}^{[s_1]} \gamma_{2,n-1}^{[s_2]}, \\ \gamma_{2,n}^{[s_1]} = \gamma_{2,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]}, \\ \gamma_{1,n}^{[s_2]} = \gamma_{1,n-1}^{[s_1]}, \\ \gamma_{2,n}^{[s_2]} = \gamma_{2,n-1}^{[s_1]}, \\ \gamma_{1,1}^{[s_1]} = 2, \gamma_{2,1}^{[s_1]} = 1, \gamma_{1,1}^{[s_2]} = 1, \gamma_{2,1}^{[s_2]} = 1. \end{cases}$$

If we interchange $\gamma_{1,n}^{[s_1]}$ (resp. $\gamma_{1,n}^{[s_2]}$) with $\gamma_{2,n}^{[s_1]}$ (resp. $\gamma_{2,n}^{[s_2]}$), we have

$$\begin{cases} \gamma_{2,n}^{[s_1]} = \gamma_{2,n-1}^{[s_1]} \gamma_{2,n-1}^{[s_2]} + \gamma_{2,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]}, \\ \gamma_{1,n}^{[s_1]} = \gamma_{1,n-1}^{[s_1]} \gamma_{2,n-1}^{[s_2]}, \\ \gamma_{2,n}^{[s_2]} = \gamma_{2,n-1}^{[s_1]}, \\ \gamma_{1,n}^{[s_2]} = \gamma_{1,n-1}^{[s_1]}, \\ \gamma_{1,1}^{[s_1]} = 1, \gamma_{2,1}^{[s_1]} = 2, \gamma_{1,1}^{[s_2]} = 1, \gamma_{2,1}^{[s_2]} = 1. \end{cases}$$

One can check that it is indeed F_{75} . This completes the proof.

3 Formula and estimate of entropy

In what follows, λ stands for the *spectral radius* of A, i.e., $\lambda = \frac{1+\sqrt{5}}{2}$ and $\bar{\lambda}$ is its conjugate. We provide various types of nonlinear recurrence equations in which the formula (or estimate) of h is presented in this section. By abuse of notation, we also denote by $|v| = \sum_{i=1}^{n} \left| v^{(i)} \right|$ the *norm* of $v \in \mathbb{R}^n$ and $v^{(i)}$ the *i*th coordinate of v. It can be easily checked that $|E_n| = \left(\sum_{i=0}^n A^i \mathbf{1}\right)^{(1)}$, where $\mathbf{1} = (1, 1)'$ and v' denotes the *transpose* of v.

3.1 Zero entropy type

Proposition 3.1 indicates that $h_{kl} = 0$ if F_{kl} satisfies $|v_k| = |v_l| = 1$, e.g., k, l = 6, 7, 8, 9. We call such F_{kl} zero entropy type (write type \mathbb{Z}).

Proposition 3.1 Let $T = (T_1, T_2) \in \mathcal{M}_2 \times \mathcal{M}_2$, and $\alpha_i = \alpha_i(T)$ be defined as above for i = 1, 2. If $|\alpha_1| = |\alpha_2| = 1$, then h = 0.



Proof For simplicity, we only prove the case F_{67} , and the other cases can be treated similarly. Indeed, F_{67} is of the following form.

$$\begin{cases} \gamma_{1,n}^{[s_{1}]} = \gamma_{1,n-1}^{[s_{1}]} \gamma_{1,n-1}^{[s_{2}]}, \\ \gamma_{2,n}^{[s_{1}]} = \gamma_{1,n-1}^{[s_{1}]} \gamma_{2,n-1}^{[s_{2}]}, \\ \gamma_{1,n}^{[s_{2}]} = \gamma_{1,n-1}^{[s_{1}]}, \\ \gamma_{2,n}^{[s_{2}]} = \gamma_{1,n-1}^{[s_{1}]}, \\ \gamma_{2,n}^{[s_{2}]} = \gamma_{1,n-1}^{[s_{1}]}, \\ \gamma_{1,1}^{[s_{1}]} = \gamma_{2,1}^{[s_{1}]} = \gamma_{1,1}^{[s_{2}]} = \gamma_{2,1}^{[s_{2}]} = 1. \end{cases}$$

$$(13)$$

Note that $\gamma_{i,1}^{[s_j]}=1$, and if we assume that $\gamma_{i,k-1}^{[s_j]}=1$ for $1\leq i,j\leq 2$, (13) infers that $\gamma_{i,k}^{[s_j]}=1$. Thus, $\gamma_{i,n}^{[s_j]}=1$ for all $1\leq n$ and $1\leq i,j\leq 2$ by induction. This shows that $h_{67}=0$. This completes the proof.

3.2 Equal growth type

Let $T=(T_1,T_2)$ and $F=\{\gamma_{i,n}^{[s_1]},\gamma_{i,n}^{[s_2]}\}_{i=1}^2$ be its nonlinear recurrence equations, we say that F is of the *equal growth type* $(F\in\mathbf{E})$ if $|\alpha_1|=|\alpha_2|$. Denote by $k_{i,j}$ the number of different items of $\gamma_{i,n}^{[s_j]}$ for $1\leq i,j\leq 2$. If $|\alpha_1|=|\alpha_2|$, it can be checked that $k_{1,1}=k_{2,1}=\alpha$, but $k_{1,2}$ may not equal to $k_{2,2}$ in general.

Theorem 3.2 Let $T = (T_1, T_2)$ and the corresponding α_1, α_2 satisfy $|\alpha_1| = |\alpha_2| = \alpha \in \mathbb{N}$. If $k_{1,2} = k_{2,2} =: \beta$, then

$$h = \left(\frac{1 - \bar{\lambda} \frac{\ln \beta}{\ln \alpha}}{\lambda^2}\right) \ln \alpha.$$

Furthermore, if $k_{1,2} = k_{2,2} = \alpha$, then $h = \frac{\ln \alpha}{\lambda}$.

Proof 1 First, we claim that $\gamma_{1,n}^{[s_j]} = \gamma_{2,n}^{[s_j]}$ for $1 \le j \le 2$ and we prove it by induction. Note that $\gamma_{1,0}^{[s_j]} = \gamma_{2,0}^{[s_j]} = 1$ and assume that $\gamma_{1,n}^{[s_j]} = \gamma_{2,n}^{[s_j]}$ for $1 \le j \le 2$. Theorem 2.2 is applied to show that

$$\gamma_{i,n+1}^{[s_1]} = \widehat{\gamma}_{i,n+1}^{[s_1]} = \alpha_i \cdot \Theta_n^{[s_1]} = \alpha_i \cdot [(\gamma_{1,n}^{[s_1]}, \gamma_{2,n}^{[s_1]}) \otimes (\gamma_{1,n}^{[s_2]}, \gamma_{2,n}^{[s_2]})], \tag{14}$$

and $\gamma_{i,n+1}^{[s_2]}$ is constructed by letting all the coefficients of

$$\widehat{\gamma}_{i,n+1}^{[s_2]} = \alpha_i \cdot \Theta_n^{[s_2]} = \alpha_i \cdot [(\gamma_{1,n}^{[s_1]}, \gamma_{2,n}^{[s_1]}) \otimes (1,1)]$$

to be 1 (Theorem 2.2). Since $k_{1,2} = k_{2,2}$, we conclude that $\gamma_{1,n+1}^{[s_2]} = \gamma_{2,n+1}^{[s_2]}$. Combining (14) with $\gamma_{1,n+1}^{[s_2]} = \gamma_{2,n+1}^{[s_2]}$ we can assert that $\gamma_{1,n+1}^{[s_1]} = \gamma_{2,n+1}^{[s_1]}$, and this proves the claim.



2 Since $|\alpha_1| = |\alpha_2|$ and $\gamma_{1,n}^{[s_j]} = \gamma_{2,n}^{[s_j]}$ for $1 \le j \le 2$. We have $\gamma_{i,n}^{[s_1]} = |\alpha_i| \gamma_{1,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]} = \alpha \gamma_{1,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]}$ and $\gamma_{i,n}^{[s_2]} = \beta \gamma_{1,n-1}^{[s_1]}$. Thus, the nonlinear equation $F = \{\gamma_{i,n}^{[s_1]}, \gamma_{i,n}^{[s_2]}\}_{i=1}^2$ can be reduced to the simplified form

$$\begin{cases} \gamma_{1,n}^{[s_1]} = \alpha \gamma_{1,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]}, \\ \gamma_{1,n}^{[s_2]} = \beta \gamma_{1,n-1}^{[s_1]}. \end{cases}$$
(15)

Let $w_n=(\ln \gamma_{1,n}^{[s_1]}, \ln \gamma_{1,n}^{[s_2]})'$. We have $w_n=Aw_{n-1}+b$, where $b=(\ln \alpha, \ln \beta)'$. Iterate w_n we have $w_n=A^{n-1}w_1+\sum_{i=0}^{n-2}A^ib$. Observe that $w_1=b$, thus

$$w_n = \sum_{i=0}^{n-1} A^i b = \ln \alpha \left(\sum_{i=0}^{n-1} A^i \widetilde{b} \right),$$
 (16)

where $\widetilde{b} = (1, \frac{\ln \beta}{\ln \alpha})'$. Combining (12) with the fact that $\gamma_{1,n}^{[s_1]} = \gamma_{2,n}^{[s_1]}$, we assert that

$$h = \lim_{n \to \infty} \frac{\ln \sum_{i=1}^{2} \gamma_{i,n}^{[s_1]}}{|E_n|} = \lim_{n \to \infty} \frac{\ln \gamma_{1,n}^{[s_1]}}{|E_n|} = \lim_{n \to \infty} \frac{w_n^{(1)}}{|E_n|}$$
$$= \lim_{n \to \infty} \frac{(\ln \alpha) \left(\sum_{i=0}^{n-1} A^i \widetilde{b}\right)^{(1)}}{|E_n|}.$$
 (17)

Substituting $|E_n| = \left(\sum_{i=0}^n A^i \mathbf{1}\right)^{(1)}$ into (17) yields

$$h = (\ln \alpha) \lim_{n \to \infty} \frac{\left(\sum_{i=0}^{n-1} A^i \widetilde{b}\right)^{(1)}}{\left(\sum_{i=0}^{n} A^i \mathbf{1}\right)^{(1)}}.$$

Setting $A = PDP^{-1}$, $P = (p_{ij})$, $P^{-1} = (q_{ij})$, and $D = diag(\lambda, \bar{\lambda})$, we have

$$\begin{split} \left(\sum_{i=0}^{n-1} A^{i} \widetilde{b}\right)^{(1)} &= \left[P\left(\sum_{i=0}^{n-1} D^{i}\right) P^{-1} \widetilde{b}\right]^{(1)} \\ &= \left[P\left(\sum_{i=0}^{n-1} D^{i}\right) \left(q_{11} + q_{12} \frac{\ln \beta}{\ln \alpha}, q_{21} + q_{22} \frac{\ln \beta}{\ln \alpha}\right)'\right]^{(1)} \\ &= \sum_{i=0}^{n-1} \left[\lambda^{i} p_{11} \left(q_{11} + q_{12} \frac{\ln \beta}{\ln \alpha}\right) + \bar{\lambda}^{i} p_{12} \left(q_{21} + q_{22} \frac{\ln \beta}{\ln \alpha}\right)\right] \\ &= p_{11} \left(q_{11} + q_{12} \frac{\ln \beta}{\ln \alpha}\right) \frac{\lambda^{n} - 1}{\lambda - 1} + p_{12} \left(q_{21} + q_{22} \frac{\ln \beta}{\ln \alpha}\right) \frac{\bar{\lambda}^{n} - 1}{\bar{\lambda} - 1}. \end{split}$$



It follows the same computation that we have

$$\left(\sum_{i=0}^{n-1} A^{i} \mathbf{1}\right)^{(1)} = p_{11} \left(q_{11} + q_{12}\right) \frac{\lambda^{n} - 1}{\lambda - 1} + p_{12} \left(q_{21} + q_{22}\right) \frac{\bar{\lambda}^{n} - 1}{\bar{\lambda} - 1}.$$
 (18)

Thus,

$$\lim_{n \to \infty} \frac{\left(\sum_{i=0}^{n-1} A^i \widetilde{b}\right)^{(1)}}{\left(\sum_{i=0}^{n} A^i \mathbf{1}\right)^{(1)}} = \frac{q_{11} + q_{12} \frac{\ln \beta}{\ln \alpha}}{(q_{11} + q_{12}) \lambda}.$$

Direct computation shows that

$$P = \begin{pmatrix} \frac{1+\sqrt{5}}{2} & \frac{1-\sqrt{5}}{2} \\ 1 & 1 \end{pmatrix} \text{ and } P^{-1} = \frac{1}{\sqrt{5}} \begin{pmatrix} 1 & \frac{-1+\sqrt{5}}{2} \\ -1 & \frac{1+\sqrt{5}}{2} \end{pmatrix}.$$

Thus, $\lim_{n\to\infty} \frac{\left(\sum_{i=0}^{n-1} A^i \widehat{b}\right)^{(1)}}{\left(\sum_{i=0}^{n} A^i \mathbf{1}\right)^{(1)}} = \frac{1-\overline{\lambda} \frac{\ln \beta}{\ln \alpha}}{\lambda^2}$. If $\alpha = \beta$, we have $\frac{1-\overline{\lambda} \frac{\ln \beta}{\ln \alpha}}{\lambda^2} = \frac{1}{\lambda}$. This completes the proof.

Example 3.3 (1) $h_{42} = \frac{1-\bar{\lambda}\frac{1}{2}}{\lambda^2} \ln 4 = \frac{1}{2} \ln 4 = \ln 2.$

- (2) $h_{23} = \frac{1-\bar{\lambda}}{\lambda^2} \ln 2 = \frac{1}{\lambda} \ln 2 \approx 0.42839$. Since $\deg(X) = \ln \lambda$ (cf. [2]), we have $\gamma_n \approx \left(2^{\frac{1}{\lambda}}\right)^{\lambda^n} = 2^{\lambda^{n-1}}$, e.g., $\gamma_9 \approx (2)^{\lambda^8} \approx 1.3868 \times 10^{14}$.
- (3) $h_{45} = \frac{1}{\lambda^2} \ln 2 \approx 0.26476$.

3.3 Dominating type

Let $\gamma_{i,n}^{[s_j]} = \sum_{l=1}^{n_{ij}} f_{l,n-1}^{ij}$, where $f_{l,n-1}^{ij}$ denotes the lth item of $\gamma_{i,n}^{[s_j]}$. We say that $\gamma_{i,n}^{[s_j]}$ has a *dominate item* if there exists integer $1 \leq r \leq n_{ij}$ such that $f_{r,n}^{ij} \geq f_{l,n}^{ij}$ for all $r \neq l$ and $n \geq 1$. We say $F = \{\gamma_{i,n}^{[s_1]}, \gamma_{i,n}^{[s_2]}\}_{i=1}^2$ is of the *dominating type* $(F \in \mathbf{D})$ if each $\gamma_{i,n}^{[s_j]}$ has a dominate item for all $1 \leq i, j \leq 2$. If $F \in \mathbf{D}$, we assume that $f_{1,n-1}^{ij}$ is the corresponding dominate item for all $1 \leq i, j \leq 2$. Thus,

$$\gamma_{i,n}^{[s_j]} = \sum_{l=1}^{n_{ij}} f_{l,n-1}^{ij} = f_{1,n-1}^{ij} \left(1 + \sum_{l=2}^{n_{ij}} \frac{f_{l,n-1}^{ij}}{f_{1,n-1}^{ij}} \right)$$

and $1 \le 1 + \sum_{l=2}^{n_{ij}} \frac{f_{l,n-1}^{lj}}{f_{1,n-1}^{lj}} \le 4$, where the number 4 comes from the extreme case where $n_{ij} = 4$ and $\frac{f_{l,n-1}^{ij}}{f_{1,n-1}^{lj}} \le 1$ for $l = 2, \ldots, n_{ij}$. Let $w_n = (\ln \gamma_{1,n}^{[s_1]}, \ln \gamma_{2,n}^{[s_2]}, \ln \gamma_{1,n}^{[s_2]}, \ln \gamma_{2,n}^{[s_2]})'$, it follows immediately from (4) and (5) that



$$w_n = K w_{n-1} + b_{n-1}, (19)$$

for some $K \in \mathcal{M}_4$ and

$$b_{n-1} = \begin{pmatrix} \ln\left(1 + \frac{\sum_{l=2}^{n_{11}} f_{l,n-1}^{11}}{f_{l,n-1}^{ij}}\right) \\ \ln\left(1 + \frac{\sum_{l=2}^{n_{21}} f_{l,n-1}^{21}}{f_{l,n-1}^{ij}}\right) \\ \ln\left(1 + \frac{\sum_{l=2}^{n_{21}} f_{l,n-1}^{12}}{f_{l,n-1}^{ij}}\right) \\ \ln\left(1 + \frac{\sum_{l=2}^{n_{22}} f_{l,n-1}^{12}}{f_{l,n-1}^{ij}}\right) \\ \ln\left(1 + \frac{\sum_{l=2}^{n_{22}} f_{l,n-1}^{22}}{f_{l,n-1}^{ij}}\right) \end{pmatrix}.$$
 (20)

Ban–Chang [7] prove that if the symbol $\gamma_i^{[s_j]}$ is *essential*⁶ for $1 \leq i, j \leq 2$, then $\rho_K = \lambda$, where ρ_B is the spectral radius of the matrix B. Let $v, w \in \mathbb{R}^n$, we say $v \ge w$ if $v_i \ge w_i$ for $1 \le i \le n$.

Proposition 3.4 Suppose $\alpha_1 > \alpha_2$ (or $\alpha_2 > \alpha_1$), and then $F \in \mathbf{D}$.

Proof We only prove the case where $\alpha_1 > \alpha_2$ and the other case is similar. The proof is divided into small cases.

1 $\alpha_1 = v_1$. In this case, there are eight possibilities of α_2 , namely $\alpha_2 = v_i$ for i = 2, ..., 9. If $\alpha_2 = v_2$, the nonlinear recurrence equation $F_{12} = \{\gamma_{i,n}^{[s_1]}, \gamma_{i,n}^{[s_2]}\}_{i=1}^2$ is as follows:

$$\begin{cases} \gamma_{1,n}^{[s_1]} = \gamma_{1,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]} + \gamma_{1,n-1}^{[s_1]} \gamma_{2,n-1}^{[s_2]} + \gamma_{2,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]} + \gamma_{2,n-1}^{[s_1]} \gamma_{2,n-1}^{[s_2]}, \\ \gamma_{2,n}^{[s_1]} = \gamma_{1,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]} + \gamma_{2,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]}, \\ \gamma_{1,n}^{[s_2]} = \gamma_{1,n-1}^{[s_1]} + \gamma_{2,n-1}^{[s_1]}, \\ \gamma_{2,n}^{[s_2]} = \gamma_{1,n-1}^{[s_1]} + \gamma_{2,n-1}^{[s_1]}, \\ \gamma_{1,1}^{[s_1]} = 4, \gamma_{2,1}^{[s_1]} = 2, \gamma_{1,1}^{[s_2]} = 1, \gamma_{2,1}^{[s_2]} = 1. \end{cases}$$

Since $\gamma_{1,n}^{[s_2]} \geq \gamma_{2,n}^{[s_2]}$ and $\gamma_{1,n}^{[s_1]} \geq \gamma_{2,n}^{[s_1]}$, we deduce that $\gamma_{1,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]}$ (resp. $\gamma_{1,n-1}^{[s_1]}$) is the dominate item for $\gamma_{1,n}^{[s_1]}$ and $\gamma_{2,n}^{[s_1]}$ (resp. $\gamma_{1,n}^{[s_2]}$ and $\gamma_{2,n}^{[s_2]}$). Thus, $F_{12} \in \mathbf{D}$. F_{13} , F_{14} , $F_{15} \in \mathbf{D}$ can be treated in the same manner. For $\alpha_2 = v_6, v_7, v_8, v_9$, let $\alpha_2 = v_6, F_{16}$ is of the following form

$$\begin{cases} \gamma_{1,n}^{[s_1]} = \gamma_{1,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]} + \gamma_{1,n-1}^{[s_1]} \gamma_{2,n-1}^{[s_2]} + \gamma_{2,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]} + \gamma_{2,n-1}^{[s_1]} \gamma_{2,n-1}^{[s_2]}, \\ \gamma_{2,n}^{[s_1]} = \gamma_{1,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_1]}, \\ \gamma_{1,n}^{[s_2]} = \gamma_{1,n-1}^{[s_1]} + \gamma_{2,n-1}^{[s_1]}, \\ \gamma_{2,n}^{[s_2]} = \gamma_{1,n-1}^{[s_1]}, \\ \gamma_{1,1}^{[s_1]} = 2, \gamma_{2,1}^{[s_1]} = 1, \gamma_{1,1}^{[s_2]} = 2, \gamma_{2,1}^{[s_2]} = 1. \end{cases}$$

$$6 \text{ We call the symbol } \gamma_i^{[s_j]} \text{ essential if there exists } n \in \mathbb{N} \text{ such that } \gamma_{i,n}^{[s_j]} > 1, \text{ and } \text{ inessential } \text{ otherwise.} \end{cases}$$

In [6], the authors find a finite checkable conditions to characterize whether $\gamma_i^{[s_j]}$ is essential or inessential.



Since $\gamma_{2,n}^{[s_1]}$ (resp. $\gamma_{2,n}^{[s_2]}$) have only one item, it is the dominate item. It follows from the fact that $\gamma_{1,n}^{[s_1]} \geq \gamma_{2,n}^{[s_1]}$ and $\gamma_{1,n}^{[s_2]} \geq \gamma_{2,n}^{[s_2]}$, and it is concluded that $\gamma_{1,n-1}^{[s_1]}\gamma_{1,n-1}^{[s_2]}$ (resp. $\gamma_{1,n-1}^{[s_1]}$) is still the dominate item of $\gamma_{1,n}^{[s_1]}$ (resp. $\gamma_{1,n}^{[s_2]}$). Thus, $F \in \mathbf{D}$. F_{17} , F_{18} , $F_{19} \in \mathbf{D}$ can be treated similarly.

 $2\ \alpha_1=v_2,v_3,v_4,v_5.$ Assuming $\alpha_1=v_2$, since $\alpha_1>\alpha_2$, it suffices to check $\alpha_2=v_6$ and $v_8.$ For $\alpha_1=v_2$, under the same argument as above we conclude that $\gamma_{1,n-1}^{[s_1]}\gamma_{1,n-1}^{[s_2]}$ (resp. $\gamma_{1,n-1}^{[s_1]}$) is the dominate item for $\gamma_{1,n}^{[s_1]}$ (resp. $\gamma_{2,n}^{[s_1]}$) and thus $F_{26}\in \mathbf{D}$. The same reasoning applies to other cases. This completes the proof.

The entropy can be computed for $F \in \mathbf{D}$. Let us denote by \mathcal{E} (resp. \mathcal{I}) the set of all essential (resp. inessential) symbols of $\{\gamma_i^{[s_j]}\}_{i,j=1}^2$, we note that $\{\gamma_i^{[s_j]}\}_{i,j=1}^2 = \mathcal{E} \cup \mathcal{I}$. The computation methods of h are divided into two subcases, namely $\mathcal{I} = \emptyset$ and $\mathcal{I} \neq \emptyset$. First, we take F_{16} as an example to illustrate how to compute h for this type and $\mathcal{I} = \emptyset$ in this case.

Theorem 3.5 Let X be a G-SFT in which the corresponding nonlinear recurrence equation is F_{16} ; that is, $T_1 = T_2 = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$. Let b_n be constructed as (20),

$$K = \begin{pmatrix} 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix} \text{ and } Q = \begin{pmatrix} \lambda & \overline{\lambda} & 0 & 0 \\ \lambda & \overline{\lambda} & 0 & 1 \\ 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 0 \end{pmatrix}$$
 (21)

be such that $QDQ^{-1} = K$ and $D = diag(\lambda, \bar{\lambda}, 0, 0)$. Then

$$h_{16} = \frac{(\lambda - 1) A_{\infty}}{\lambda^2} \approx 0.23607 A_{\infty},$$

where

$$A_{\infty} = \lim_{n \to \infty} \left(\widehat{w}_{1}^{(1)} + \lambda^{-1} \widehat{b}_{1}^{(1)} + \dots + \lambda^{-n+1} \widehat{b}_{n}^{(1)} \right)$$
 (22)

and $\widehat{b}_n = Q^{-1}b_n$. Moreover, the limit (22) exists.

Proof Note that

$$F_{16} = \begin{cases} \gamma_{1,n}^{[s_1]} = \gamma_{1,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]} + \gamma_{1,n-1}^{[s_1]} \gamma_{2,n-1}^{[s_2]} + \gamma_{2,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]} + \gamma_{2,n-1}^{[s_1]} \gamma_{2,n-1}^{[s_2]}, \\ \gamma_{1,n}^{[s_2]} = \gamma_{1,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_1]}, \\ \gamma_{1,n}^{[s_2]} = \gamma_{1,n-1}^{[s_1]} + \gamma_{2,n-1}^{[s_1]}, \\ \gamma_{2,n}^{[s_2]} = \gamma_{1,n-1}^{[s_1]}, \\ \gamma_{1,1}^{[s_1]} = 4, \gamma_{2,1}^{[s_1]} = 1, \gamma_{1,1}^{[s_2]} = 2, \gamma_{2,1}^{[s_2]} = 1. \end{cases}$$

It can be easily checked that $\gamma_{i,2}^{[s_j]} \geq 4$ for $1 \leq i, j \leq 2$. Thus, there is no inessential symbol and $\mathcal{I} = \emptyset$. Since $\gamma_{1,n}^{[s_1]} \geq \gamma_{2,n}^{[s_1]}$ and $\gamma_{1,n}^{[s_2]} \geq \gamma_{2,n}^{[s_1]}$, $\gamma_{1,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]}$ (resp. $\gamma_{1,n-1}^{[s_1]}$)



is the dominate item of $\gamma_{1,n}^{[s_1]}$ (resp. $\gamma_{1,n}^{[s_2]}$), $F \in \mathbf{D}$. The above argument indicates that $w_n = Kw_{n-1} + b_{n-1}$, and K is indeed (21). Along the identical line of the proof in Theorem 3.2, we have $w_n = K^{n-1}w_1 + \sum_{i=0}^{n-2} K^i b_i$,

$$h = \lim_{n \to \infty} \frac{\ln \sum_{i=1}^{2} \gamma_{i,n}^{[s_1]}}{|E_n|} = \lim_{n \to \infty} \frac{\ln \gamma_{1,n}^{[s_1]}}{|E_n|} = \lim_{n \to \infty} \frac{w_n^{(1)}}{|E_n|},$$
 (23)

and

$$w_n^{(1)} = \left(K^{n-1}w_1 + K^{n-2}b_1 + \dots + b_n\right)^{(1)}$$

$$= \left(QD^{n-1}Q^{-1}w_1 + QD^{n-2}Q^{-1}b_1 + \dots + QQ^{-1}b_n\right)^{(1)}.$$
 (24)

Combining (24) with direct computation yields

$$\begin{split} w_n^{(1)} &= \left(Q D^{n-1} \widehat{w}_1 + Q D^{n-2} \widehat{b}_1 + \dots + Q \widehat{b}_n \right)^{(1)} \\ &= \lambda^{n-1} Q_{11} \left(\widehat{w}_1^{(1)} + \lambda^{-1} \widehat{b}_1^{(1)} + \dots + \lambda^{-n+1} \widehat{b}_n^{(1)} \right) + O(\bar{\lambda}^n) \\ &= \lambda^n \left(\widehat{w}_1^{(1)} + \lambda^{-1} \widehat{b}_1^{(1)} + \dots + \lambda^{-n+1} \widehat{b}_n^{(1)} \right) + O(\bar{\lambda}^n). \end{split}$$

Combining (23), we obtain that

$$h = \lim_{n \to \infty} \frac{\lambda^n \left(\widehat{w}_1^{(1)} + \lambda^{-1} \widehat{b}_1^{(1)} + \dots + \lambda^{-n+1} \widehat{b}_n^{(1)} \right)}{\left(\sum_{i=0}^n A^i \mathbf{1} \right)^{(1)}}.$$
 (25)

Let $A_{\infty} = \lim_{n \to \infty} \left(\widehat{w}_1^{(1)} + \lambda^{-1} \widehat{b}_1^{(1)} + \dots + \lambda^{-n+1} \widehat{b}_n^{(1)} \right)$, such limit exists due to the fact that $\widehat{b}_n^{(1)}$ is bounded for all n. Combining (25) with (18) yields

$$h = \lim_{n \to \infty} \frac{\lambda^n A_{\infty}}{\left(\sum_{i=0}^n A^i \mathbf{1}\right)^{(1)}}$$

$$= A_{\infty} \lim_{n \to \infty} \frac{\lambda^n}{p_{11} (q_{11} + q_{12}) \frac{\lambda^n - 1}{\lambda - 1} + p_{12} (q_{21} + q_{22}) \frac{\bar{\lambda}^n - 1}{\bar{\lambda} - 1}}$$

$$= \frac{A_{\infty}}{\frac{1}{\lambda - 1} p_{11} (q_{11} + q_{12})}$$

$$= \frac{(\lambda - 1) A_{\infty}}{\lambda^2}$$

$$\approx 0.236 \, 07 A_{\infty} \approx 0.5011681177.$$

This completes the proof.

Next, we use F_{39} to illustrate the computation of h for the case where $\mathcal{I} \neq \emptyset$.



Proposition 3.6 $h_{39} = 0$.

Proof Note that

$$F_{39} = \begin{cases} \gamma_{1,n}^{[s_1]} = \gamma_{1,n-1}^{[s_1]} \gamma_{2,n-1}^{[s_2]} + \gamma_{2,n-1}^{[s_1]} \gamma_{2,n-1}^{[s_2]}, \\ \gamma_{2,n}^{[s_1]} = \gamma_{2,n-1}^{[s_1]} \gamma_{2,n-1}^{[s_2]}, \\ \gamma_{1,n}^{[s_2]} = \gamma_{1,n-1}^{[s_1]} + \gamma_{2,n-1}^{[s_1]}, \\ \gamma_{2,n}^{[s_2]} = \gamma_{2,n-1}^{[s_1]}, \\ \gamma_{1,1}^{[s_1]} = 2, \gamma_{2,1}^{[s_1]} = 1, \gamma_{1,1}^{[s_2]} = 2, \gamma_{2,1}^{[s_2]} = 1. \end{cases}$$

$$(26)$$

It can be checked that $\gamma_{2,n}^{[s_1]} = \gamma_{2,n}^{[s_2]} = 1$ for all $n \in \mathbb{N}$, and $F_{39} \in \mathbf{D}$. Thus, $\mathcal{I} = \{\gamma_2^{[s_1]}, \gamma_2^{[s_1]}\} \neq \emptyset$. Under the same argument in the beginning of this section, we construct

$$K = \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}.$$

Since $w_n^{(2)} = w_n^{(4)} = 0$, formula (19) can be reduced to the following form.

$$\widetilde{w}_n = \widetilde{K}\widetilde{w}_{n-1} + \widetilde{b}_{n-1},\tag{27}$$

where $\widetilde{w}_n = (w_n^{(1)}, w_n^{(3)})', \widetilde{b}_n = (\ln(1+\frac{\gamma_{2,n}^{[s_1]}}{\gamma_{1,n}^{[s_1]}}), \ln(1+\frac{\gamma_{2,n}^{[s_1]}}{\gamma_{1,n}^{[s_1]}}))$ and $\widetilde{K} = \begin{pmatrix} 1 \ 0 \\ 1 \ 0 \end{pmatrix}$ which is derived by deleting the second and fourth columns and rows of K. Note that if $\rho_{\widetilde{K}} > 1$, the method used in Theorem 3.5 still works. The induction formula (27) in fact provides us the formula of $w_n^{(1)}$ and $w_n^{(3)}$. Indeed, since $\gamma_{2,n}^{[s_2]} = 1, w_n^{(1)} = \ln \gamma_{1,n}^{[s_1]} = \ln (\gamma_{1,n-1}^{[s_1]} + \gamma_{2,n-1}^{[s_1]}) = \ln \gamma_{1,n}^{[s_2]} = w_n^{(3)}$, the recurrence equation (27) is reduced to the simple form

$$w_{n}^{(1)} = w_{n-1}^{(1)} + \ln\left(1 + \frac{\gamma_{2,n-1}^{[s_{1}]}}{\gamma_{1,n-1}^{[s_{1}]}}\right)$$

$$= w_{1}^{(1)} + \ln\left(1 + \frac{\gamma_{2,1}^{[s_{1}]}}{\gamma_{1,1}^{[s_{1}]}}\right) + \dots + \ln\left(1 + \frac{\gamma_{2,n-1}^{[s_{1}]}}{\gamma_{1,n-1}^{[s_{1}]}}\right)$$

$$= \ln\gamma_{1,1}^{[s_{1}]} + \ln\left(1 + \frac{\gamma_{2,1}^{[s_{1}]}}{\gamma_{1,1}^{[s_{1}]}}\right) + \dots + \ln\left(1 + \frac{\gamma_{2,n-1}^{[s_{1}]}}{\gamma_{1,n-1}^{[s_{1}]}}\right). \tag{28}$$

Equality (28) actually demonstrates the inductive formula $w_n^{(1)} = \ln \gamma_{1,n}^{[s_1]}$. Indeed,

$$\ln \gamma_{1,1}^{[s_1]} + \ln \left(1 + \frac{\gamma_{2,1}^{[s_1]}}{\gamma_{1,1}^{[s_1]}} \right) + \dots + \ln \left(1 + \frac{\gamma_{2,n-1}^{[s_1]}}{\gamma_{1,n-1}^{[s_1]}} \right)$$



$$\begin{split} &= \ln \gamma_{1,1}^{[s_{1}]} \left(1 + \frac{\gamma_{2,1}^{[s_{1}]}}{\gamma_{1,1}^{[s_{1}]}} \right) \cdots \left(1 + \frac{\gamma_{2,n-1}^{[s_{1}]}}{\gamma_{1,n-1}^{[s_{1}]}} \right) \\ &= \ln \gamma_{1,1}^{[s_{1}]} \left(\frac{\gamma_{1,1}^{[s_{1}]} + \gamma_{2,1}^{[s_{1}]}}{\gamma_{1,1}^{[s_{1}]}} \right) \cdots \left(\frac{\gamma_{1,n-1}^{[s_{1}]} + \gamma_{2,n-1}^{[s_{1}]}}{\gamma_{1,n-1}^{[s_{1}]}} \right) \\ &= \ln \gamma_{1,1}^{[s_{1}]} \left(\frac{\gamma_{1,2}^{[s_{1}]}}{\gamma_{1,1}^{[s_{1}]}} \right) \left(\frac{\gamma_{1,3}^{[s_{1}]}}{\gamma_{1,2}^{[s_{1}]}} \right) \cdots \left(\frac{\gamma_{1,n}^{[s_{1}]}}{\gamma_{1,n-1}^{[s_{1}]}} \right) \\ &= \ln \gamma_{1,n}^{[s_{1}]}. \end{split}$$

The second equality comes from the recurrence formula (26). For the computation of h, we know that $\gamma_{1,n}^{[s_1]} = \gamma_{1,n-1}^{[s_1]} + \gamma_{2,n-1}^{[s_1]} = \gamma_{1,n-1}^{[s_1]} + 1$. Thus, $\gamma_{1,n}^{[s_1]} = n$ and h = 0. \square

The same reasoning applies to the cases $h_{62} = h_{64} = h_{72} = h_{58} = h_{59} = 0$.

Corollary 3.7
$$h_{46} = h_{47} = h_{44} = h_{45} = \frac{1}{12} \ln 2$$
.

Proof It follows from Theorem 3.2 that we have $h_{44} = h_{45}$. It suffices to prove that $h_{46} = h_{44}$, and the case where $h_{47} = h_{44}$ is similar. Since

$$F_{46} = \begin{cases} \gamma_{1,n}^{[s_1]} = \gamma_{1,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]} + \gamma_{1,n-1}^{[s_1]} \gamma_{2,n-1}^{[s_2]}, \\ \gamma_{2,n}^{[s_1]} = \gamma_{1,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]}, \\ \gamma_{1,n}^{[s_2]} = \gamma_{1,n-1}^{[s_1]}, \\ \gamma_{2,n}^{[s_2]} = \gamma_{1,n-1}^{[s_1]}, \\ \gamma_{1,1}^{[s_1]} = 2, \gamma_{2,1}^{[s_1]} = 1, \gamma_{1,1}^{[s_2]} = 1, \gamma_{2,1}^{[s_2]} = 1, \end{cases}$$

we have $\gamma_{1,n}^{[s_2]} = \gamma_{2,n}^{[s_2]}$, and it follows from the fact that $F_{46} \in \mathbf{D}$, and we reduce F_{46} to (note $h_{46} = \lim_{n \to \infty} \frac{\ln(\gamma_{1,n}^{[s_1]} + \gamma_{2,n}^{[s_1]})}{|E_n|} = \lim_{n \to \infty} \frac{\ln \gamma_{1,n}^{[s_1]}}{|F_n|}$)

$$\begin{cases} \gamma_{1,n}^{[s_1]} = 2\gamma_{1,n-1}^{[s_1]}\gamma_{1,n-1}^{[s_2]}, \\ \gamma_{1,n}^{[s_2]} = \gamma_{1,n-1}^{[s_1]}, \\ \gamma_{1,1}^{[s_1]} = 2, \gamma_{1,1}^{[s_2]} = 1. \end{cases}$$

The same argument as in the proof of Theorem 3.2 infers that $h_{46} = h_{44} = \frac{1}{\lambda^2} \ln 2$. This completes the proof.

3.4 Oscillating type

We call an $F=\{\gamma_{i,n}^{[s_1]},\gamma_{i,n}^{[s_2]}\}_{i=1}^2$ the *oscillating type* $(F\in \mathbf{O})$ if there exist two sequences $\{m_n^1\}$, $\{m_n^2\}$ of \mathbb{N} with $\{m_n^1\}\cap\{m_n^2\}=\emptyset$ and $\{m_n^1\}\cup\{m_n^2\}=\mathbb{N}$ such that $\gamma_{1,n}^{[s_1]}\geq\gamma_{2,n}^{[s_1]}$ for $n\in\{m_n^1\}$ and $\gamma_{1,n}^{[s_1]}<\gamma_{2,n}^{[s_1]}$ if $n\in\{m_n^2\}$. We say $F\in \mathbf{O}_2$ if the two sequences are odd and even numbers. For $F\in \mathbf{O}_2$, h can be computed along the same line of Theorem 3.5. The steps are listed as follows.



- (1) Expand $F = \{\gamma_{i,n}^{[s_1]}, \gamma_{i,n}^{[s_2]}\}_{i=1}^2$ to (n-2)-order, say $F^{(2)}$; that is, expand each item of $\gamma_{i,n}^{[s_j]}$ to next level according to the rule of F.
- (2) Since $\gamma_{1,n}^{[s_1]} \ge \gamma_{2,n}^{[s_1]}$ for n being even and $\gamma_{1,n}^{[s_1]} < \gamma_{2,n}^{[s_1]}$ for n being odd, one assures that $F^{(2)} \in \mathbf{D}$.
- (3) Construct $w_n = Kw_{n-2} + b_{n-2}$ as in the case of dominating type, and note that $K \in \mathcal{M}_{4\times 4}$ with $\rho_K = \lambda^2$ (cf. [7]).
- (4) Iterate w_n and compute the growth rate of $\lim_{n\to\infty} \frac{w_{2n}}{|E_{2n}|}$. Since the limit h exists (Theorem 2.1), we have $h = \lim_{n\to\infty} \frac{w_{2n}}{|E_{2n}|}$.

The following proposition characterizes whether $F \in \mathbf{O}_2$.

Proposition 3.8 F_{36} , F_{56} , F_{92} , $F_{94} \in \mathbf{O}_2$.

Proof Note that $F_{36} \simeq F_{92}$ and $F_{56} \simeq F_{94}$. Thus, we only need to prove F_{36} and F_{56} . Since the proofs of F_{36} and F_{56} are identical, it suffices to prove the case of F_{36} . F_{36} is of the following form

$$F_{36} = \begin{cases} \gamma_{1,n}^{[s_{1}]} = \gamma_{1,n-1}^{[s_{1}]} \gamma_{2,n-1}^{[s_{2}]} + \gamma_{2,n-1}^{[s_{1}]} \gamma_{2,n-1}^{[s_{2}]}, \\ \gamma_{2,n}^{[s_{1}]} = \gamma_{1,n-1}^{[s_{1}]} \gamma_{1,n-1}^{[s_{2}]}, \\ \gamma_{1,n}^{[s_{2}]} = \gamma_{1,n-1}^{[s_{1}]} + \gamma_{2,n-1}^{[s_{1}]}, \\ \gamma_{2,n}^{[s_{2}]} = \gamma_{1,n-1}^{[s_{1}]}, \\ \gamma_{1,1}^{[s_{1}]} = 2, \gamma_{2,1}^{[s_{1}]} = 1, \gamma_{1,1}^{[s_{2}]} = 2, \gamma_{2,1}^{[s_{2}]} = 1. \end{cases}$$

$$(29)$$

Let
$$\tau_n = \frac{\gamma_{1,n}^{[s_1]}}{\gamma_{2,n}^{[s_1]}}$$
 and $\chi_n = \frac{\gamma_{1,n}^{[s_2]}}{\gamma_{2,n}^{[s_2]}}$, we have

$$\tau_{n} = \frac{\gamma_{1,n-1}^{[s_{1}]} \gamma_{2,n-1}^{[s_{2}]} + \gamma_{2,n-1}^{[s_{1}]} \gamma_{2,n-1}^{[s_{2}]}}{\gamma_{1,n-1}^{[s_{1}]} \gamma_{1,n-1}^{[s_{2}]}} = \frac{1}{\chi_{n-1}} + \frac{1}{\tau_{n-1}\chi_{n-1}},$$

$$\chi_{n} = \frac{\gamma_{1,n-1}^{[s_{1}]} + \gamma_{2,n-1}^{[s_{1}]}}{\gamma_{1,n-1}^{[s_{1}]}} = 1 + \frac{1}{\tau_{n-1}}.$$

The direct computation shows that $(\tau_1, \chi_1) = (\frac{1}{2}, \frac{1}{2})$ and $(\tau_2, \chi_2) = (8, 3)$. Note that if $\tau_n \leq \frac{1}{2}$ and $\chi_n \leq \frac{3}{2}$, then

$$\tau_{n+1} = \frac{1}{\chi_n} + \frac{1}{\tau_n \chi_n} \ge \frac{2}{3} + \frac{4}{3} = 2,$$

$$\chi_{n+1} = 1 + \frac{1}{\tau_{n-1}} \ge 1 + 2 = 3.$$

If $\tau_n \geq 2$, $\chi_n \geq 3$, we have

$$\tau_{n+1} = \frac{1}{\gamma_n} + \frac{1}{\tau_n \gamma_n} \le \frac{1}{3} + \frac{1}{6} = \frac{1}{2},$$



$$\chi_{n+1} = 1 + \frac{1}{\tau_{n-1}} \le 1 + \frac{1}{2} \le \frac{3}{2}.$$

By induction, we have $\tau_n \leq \frac{1}{2} \left(\gamma_{1,n}^{[s_1]} < \gamma_{2,n}^{[s_1]} \right)$ and $\chi_n \leq \frac{3}{2}$ for n being an odd number and $\tau_n \geq 2 \left(\gamma_{1,n}^{[s_1]} > \gamma_{2,n}^{[s_1]} \right)$, $\chi_n \geq 3$ for n being even, i.e., $F \in \mathbf{O}_2$. This completes the proof.

4 Characterization

Theorem 4.1 Let $A = \{1, 2\}$. Suppose $F = \{\gamma_{i,n}^{[s_1]}, \gamma_{i,n}^{[s_2]}\}_{i=1}^2$ is the nonlinear recurrence equation of a G-SFT with $G = \langle S|R_A\rangle$, where $A = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$. Then, F is either one of the following four types.

- (1) F is of the zero entropy type.
- (2) *F is of the equal growth type*,
- (3) *F* is of the dominating type,
- (4) F is of the oscillating type.

Proof Without loss of generality, we assume that $|\alpha_1| \ge |\alpha_2|$. The proof is divided into two subcases.

1 $|\alpha_1| = 4$. In this case, under the same proof of Theorem 3.4 we see that $F \in \mathbf{D}$. 2 $|\alpha_1| = 2$. Note that $(\alpha_1, \alpha_2) = (v_k, v_l)$ for k, l = 2, 3 and $(\alpha_1, \alpha_2) = (v_4, v_4)$ satisfy the assumptions of Theorem 3.2; they belong to type \mathbf{E} . For other cases, since most of them are type \mathbf{D} under the routine check, we only pick those F which do not belong to type \mathbf{D} , namely F_{36} , F_{56} , F_{38} , F_{57} , F_{72} , F_{92} , F_{94} and F_{84} . Since $F_{36} \simeq F_{92}$, $F_{56} \simeq F_{94}$, $F_{38} \simeq F_{72}$ and $F_{57} \simeq F_{84}$, it suffices to check F_{36} , F_{56} , F_{38} and F_{57} . Proposition 3.8 indicates that F_{36} , $F_{56} \in \mathbf{O}_2$. Thus, we only need to discuss the cases of F_{38} and F_{57} . Actually, we prove $F_{38} \in \mathbf{O} \setminus \mathbf{O}_2$ (F_{57} is similar). F_{38} is of the form

$$\begin{cases} \gamma_{1,n}^{[s_1]} = \gamma_{1,n-1}^{[s_1]} \gamma_{2,n-1}^{[s_2]} + \gamma_{2,n-1}^{[s_1]} \gamma_{2,n-1}^{[s_2]}, \\ \gamma_{2,n}^{[s_1]} = \gamma_{2,n-1}^{[s_1]} \gamma_{1,n-1}^{[s_2]}, \\ \gamma_{1,n}^{[s_2]} = \gamma_{1,n-1}^{[s_1]} + \gamma_{2,n-1}^{[s_1]}, \\ \gamma_{2,n}^{[s_2]} = \gamma_{2,n-1}^{[s_1]}, \\ \gamma_{1,1}^{[s_1]} = 2, \gamma_{2,1}^{[s_1]} = 1, \gamma_{1,1}^{[s_2]} = 2, \gamma_{2,1}^{[s_2]} = 1. \end{cases}$$

Let
$$\tau_n = \frac{\gamma_{1,n}^{[s_1]}}{\gamma_{2,n}^{[s_1]}}$$
 and $\chi_n = \frac{\gamma_{1,n}^{[s_2]}}{\gamma_{2,n}^{[s_2]}}$, then

$$\tau_n = \frac{\tau_{n-1+1}}{\chi_{n-1}} = \frac{\chi_n}{\chi_{n-1}} \text{ and } \chi_n = \tau_{n-1} + 1.$$

Direct examination shows that $(\tau_1, \chi_1) = (2, 2), (\tau_2, \chi_2) = (\frac{3}{2}, 3), (\tau_3, \chi_3) = (\frac{5}{6}, \frac{5}{2}), (\tau_4, \chi_4) = (\frac{11}{15}, \frac{11}{6}), (\tau_5, \chi_5) = (\frac{52}{55}, \frac{26}{15}) \dots$; thus, $F_{38} \notin \mathbf{O}_2$.



3 $|\alpha_1| = 1$. Proposition 3.1 indicates that all these cases belong to type **Z**. This completes the proof.

The following table indicates all types of F_{ij} for $1 \le i, j \le 9$.

$\alpha_2 \backslash \alpha_1$	v_1	v_2	v_3	v_4	v_5	v_6	v_7	v_8	v_9
v_1	E	D	D	D	D	D	D	D	D
v_2	D	E	E	D	D	D	O	D	\mathbf{O}_2
v_3	D	E	E	D	D	D	D	D	D
v_4	D	D	D	E	E	D	D	O	\mathbf{O}_2
v_5	D	D	D		E	D	D	D	D
v_6	D	D	\mathbf{O}_2	D	\mathbf{O}_2	Z	Z	Z	Z
v_7	D	D	D	D	0	Z	Z	Z	Z
v_8	D	D	0	D	D	Z	Z	Z	Z
v_9	D	D	D	D	D	Z	Z	Z	Z

4.1 Numerical results

The numerical result of h is presented. We give some explanations as follows:

- (1) We note that for each F_{kl} , there exists a unique F_{pq} such that $F_{kl} \simeq F_{pq}$, which gives $h_{kl} = h_{pq}$, e.g., $h_{48} = h_{75}$, $h_{14} = h_{51}$, etc.
- (2) $h_{kl} = 0$ for $k, l \in \{6, 7, 8, 9\}$ (Proposition 3.1). (3) $h_{11} = \ln 2$ and $h_{kl} = \frac{\ln 2}{\lambda}$ if $k, l \in \{2, 3\}$ and $h_{kl} = \frac{\ln 2}{\lambda^2}$ if $k, l \in \{4, 5\}$ (Theorem 3.2).
- (4) $h_{44} = h_{45} = h_{46} = h_{47}$ (Corollary 3.7).
- (5) $h_{39} = h_{62} = h_{64} = h_{72} = h_{58} = h_{59} = 0$ (Proposition 3.6).

$\alpha_2 \backslash \alpha_1$	$ v_1 $	v_2	v_3	v_4
v_1	0.6924441915	0.5827398718	0.5827398718	0.4446025684
v_2			0.4282225063	
v_3	0.5827398718	0.4282225063	0.4282225063	0.3785719508
v_4			0.2920492775	
v_5			0.3480809809	
v_6	0.5011681177	0.3384608728	0.2437451279	0.2647497426
v_7	0.4808946783	0.3062336239	0.2093165951	0.2647497426
v_8			0.1904155180	0.2009045358
v_9	0.3529894045	0.2396006045	0	0.1959187210



$\alpha_2 \backslash \alpha_1$	v_5	v_6	v_7	v_8
v_1	0.5473654583	0.3529894045	0.3742043181	0.4808946783
v_2	0.2920492775	0	0.1904155180	0.2093165951
v_3	0.4046074815	0.2396006045	0.2747387680	0.3062336239
v_4	0.2648611178	0	0	0.1486957616
v_5	0.2648611178	0.1959187210	0.2009045358	0.2648611178
v_6	0.1312568310	0	0	0
v_7	0.1486957616	0	0	0
v_8	0	0	0	0
<i>v</i> 9	0	0	0	0

$\alpha_2 \backslash \alpha_1$	v_9
v_1	0.5011681177
v_2	0.2437451279
v_3	0.3384608728
v_4	0.1312568310
v_5	0.2648611178
v_6	0
v_7	0
v_8	0
v_9	0

5 Conclusion and open problems

We list the results of this paper as follows:

- (1) The existence of the entropy (1) for a G-shift is illustrated in Theorem 2.1.
- (2) The nonlinear recurrence equation which describes the growth behavior of the admissible patterns $\gamma_n(X)$ in a *G*-SFT (or *G*-vertex shift) is established in Sect. 2.
- (3) The **Z**, **E**, **D** and **O** (**O**₂) types of nonlinear recurrence equations are introduced. The algorithms of the entropy computations for these types are also presented (cf. Sect. 3).
- (4) The characterization of the nonlinear recurrence equations of G-SFTs with two symbols is presented (Theorem 4.1).

We emphasize that the computation method of h can be easily extended to the case of more symbols. However, the general entropy formula for arbitrary G-SFTs is far from being solved. We list some problems in the further study.

Problem 5.1 Can we give the characterization for *G*-SFTs over symbol set \mathcal{A} with $|\mathcal{A}| > 2$?

Problem 5.2 Let $H = \langle S|R_B \rangle$ with $S = \{s_1, \dots, s_d\}$ and B be an arbitrary d-dimensional $\{0, 1\}$ -matrix, can we develop the entropy theory for H-SFTs?

Problem 5.3 Can we extend the methods of G-SFTs to F_d -SFTs? More precisely, can we establish the entropy formula for F_d -SFTs?



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