

## 4 Gaps of $(l, m)$ -uniform mixed hypergraphs

**Definition 4.1** A mixed hypergraph,  $\mathcal{H}$ , is  $(l, m)$ -uniform if each  $\mathcal{C}$ -edge consists of  $l$  vertices and each  $\mathcal{D}$ -edge consists of  $m$  vertices. If  $l = m = r$ , then we say that  $\mathcal{H}$  is  $r$ -uniform.

**Definition 4.2** We say that a mixed hypergraph is a bihypergraph if  $\mathcal{C} = \mathcal{D}$ .

**Definition 4.3** Let  $\mathcal{H}$  be a mixed hypergraph, and  $e$  be an edge with two vertices  $u, v$ , contraction of  $e$  is the replacement of  $u$  and  $v$  with a new vertex whose incident edges are the edges other than  $e$  that were incident  $u$  or  $v$ .

**Theorem 4.4** Let  $\mathcal{H} = (X, \mathcal{C}, \mathcal{D})$  where  $|C| = l$  for all  $C \in \mathcal{C}$ . If  $l = 2$  then  $\mathcal{H}$  has no gap. If  $l > 2$  and let  $k$  be a gap of  $\mathcal{H}$ , then  $k \geq l$ .

**Proof.** If  $l = 2$ , then for all  $C \in \mathcal{C}$ , by contraction we can use a new vertex to replace  $C$ . Then we have a new mixed hypergraph  $\mathcal{H}' = (X', \mathcal{C}', \mathcal{D}')$ , and  $S(\mathcal{H}) = S(\mathcal{H}')$ . Because  $\mathcal{C}' = \emptyset$ ,  $\mathcal{H}'$  has no gap. Therefore,  $\mathcal{H}$  has no gap.

If  $l > 2$ , let  $c$  be a strict  $t$ -coloring of  $\mathcal{H}$ ,  $t < l - 1$ , and  $\{X_1, X_2, \dots, X_t\}$  be the feasible partition with respect to  $c$ ,  $|X_i| \neq 1$ . Choose  $a \in X_t$ , and let  $\{Y_1, Y_2, \dots, Y_{t+1}\}$  be the feasible partition with respect to  $c'$ , where  $Y_i = X_i$  for  $1 \leq i \leq t - 1$ ,  $Y_t = X_t - \{a\}$ , and  $Y_{t+1} = \{a\}$ . Then we prove that  $c'$  is a strict  $(t + 1)$ -coloring of  $\mathcal{H}$ :

For all  $D \in \mathcal{D}$ , there are  $d_1, d_2 \in D$  such that  $d_1 \in X_i, d_2 \in X_j$  for  $i \neq j$ . Therefore  $d_1 \in Y_{i'}, d_2 \in Y_{j'}$  for  $i' \neq j'$ . For all  $C \in \mathcal{C}$ , since  $|C| = l$  and  $t + 1 < l$ , by Pigeonhole Principle, there are  $c_1, c_2 \in C$  such that  $c_1, c_2 \in Y_i$  for some  $i$ . So  $c'$  is a strict  $(t + 1)$ -coloring of  $\mathcal{H}$ . Thus,  $k \geq l$ .  $\square$

**Theorem 4.5** Let  $\mathcal{H} = (X, \mathcal{C}, \mathcal{D})$  where  $|D| = m \geq 3$  for all  $D \in \mathcal{D}$ . Let  $n = |X|$  and  $s = \lceil \frac{m}{2} \rceil$ , then we can rewrite  $n = sh + m - 1 - s, sh + m - 2 - s, \dots$ , or  $sh + m - 2s$ ,  $h \in \mathbb{N}$ , up to  $n$  modulo  $s$ . If  $\mathcal{H}$  has a gap at  $k$ , then  $k < h$ .

**Proof.** Let  $c$  be a strict  $t$ -coloring of  $\mathcal{H}$ ,  $t \geq h + 1$ , and  $\{X_1, X_2, \dots, X_t\}$  be the feasible partition with respect to  $c$ .

If  $m$  is even, then  $m = 2s$ , and  $n = sh, sh + 1, \dots$ , or  $sh + s - 1$ . If  $m$  is odd, then  $m = 2s - 1$ , and  $n = sh - 1, sh, \dots$ , or  $sh + s - 2$ . Then by Pigeonhole Principle, there exists  $X_i$  such that  $|X_i| = q \leq s + 1$ , for some  $1 \leq i \leq t$ , and there exist  $X_j$  such that  $|X_j| < m - q$ , for some  $j \neq i, 1 \leq j \leq t$ . Set  $|X_t| = q \leq s + 1$  and  $|X_{t-1}| < m - q$ , then  $|X_t \cup X_{t-1}| < m$ .

Let  $Y_i = X_i$  for  $1 \leq i \leq t - 2$ ,  $Y_{t-1} = X_{t-1} \cup X_t$ , and  $\{Y_1, Y_2, \dots, Y_{t-1}\}$  be the feasible partition with respect to  $c'$ . For all  $C \in \mathcal{C}$ , there are two members of  $C$  have the same  $X_i$ , then these two vertices have the same  $Y_i$ . For all  $D \in \mathcal{D}$ , since  $D \not\subseteq X_i$  for  $1 \leq i \leq t$ ,  $D \not\subseteq Y_i$  for  $1 \leq i \leq t - 2$ , and  $|D| = m$  and  $|Y_{t-1}| = |X_{t-1} \cup X_t| < m$ , so  $D \not\subseteq Y_{t-1}$ . Hence,  $c'$  is a strict  $(t - 1)$ -coloring of  $\mathcal{H}$ . Therefore, if  $\mathcal{H}$  has a gap at  $k$ , then  $k < h$ .  $\square$

**Theorem 4.6** *Let  $\mathcal{H}$  be a  $(l, m)$ -uniform mixed hypergraph where  $n, s$ , and  $h$  are defined as above. If  $s \leq l$ , then  $\mathcal{H}$  has a gap at  $k$  if and only if  $l \leq k < h$ .*

**Proof.** By theorem 4.4 and theorem 4.5, we know that if  $\mathcal{H}$  has a gap, then  $l \leq k < h$ . Then we prove the converse, that is for  $l \leq k < h$ , we can find a  $(l, m)$ -uniform mixed hypergraph has a gap at  $k$ .

If  $m$  is even and  $n \equiv b \pmod{s}$ ,  $0 \leq b \leq s - 1$ . Let  $A$  and  $A_i$ ,  $0 \leq i \leq s - 1$ , are sets of vertices,

$$A = \left\{ \begin{array}{cccc} a_{1,1} & a_{1,2} & \cdots & a_{1,h} \\ a_{2,1} & a_{2,2} & \cdots & a_{2,h} \\ \vdots & \vdots & \ddots & \vdots \\ a_{s,1} & a_{s,2} & \cdots & a_{s,h} \end{array} \right\},$$

$A_0 = \phi$ , and  $A_i = \{a_{i,h+1}\}$ ,  $1 \leq i \leq s - 1$ . Then  $X = A \cup (\bigcup_{i=0}^b A_i)$ .

If  $m$  is odd and  $n \equiv b \pmod{s}$ ,  $-1 \leq b \leq s-2$ . Let  $A'$  and  $A'_i$ ,  $0 \leq i \leq s-1$ , are sets of vertices,

$$A = \left\{ \begin{array}{ccccc} a_{1,1} & a_{1,2} & \cdots & a_{1,h-1} & a_{1,h} \\ a_{2,1} & a_{2,2} & \cdots & a_{2,h-1} & a_{2,h} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ a_{s-1,1} & a_{s-1,2} & \cdots & a_{s-1,h-1} & a_{s-1,h} \\ a_{s,1} & a_{s,2} & \cdots & a_{s,h-1} & \end{array} \right\},$$

$A_0 = \phi$ ,  $A_1 = \{a_{s,h}\}$ , and  $A_i = \{a_{i-1,h+1}\}$ ,  $2 \leq i \leq s-1$ . Then  $X = A \cup (\bigcup_{i=0}^{b+1} A_i)$ .

Let  $B_j = \{a_{1,j}, a_{2,j}, \dots, a_{s,j}\} \cap X$ ,  $1 \leq j \leq h+1$ , and  $\mathcal{C} = \{C \subseteq X \mid |C| = l, \text{ at least two elements of } C \text{ are in some } B_j, 1 \leq j \leq h+1\}$ , and  $\mathcal{D} = \{D \subseteq X \mid |D| = m, \text{ at least two elements of } D \text{ are in some } B_j, 1 \leq j \leq h+1\}$ .

Let  $c$  be a coloring of  $\mathcal{H}$ . If there exist  $c(a_{i_1,j}) \neq c(a_{i_2,j})$  for some  $i_1 \neq i_2$  and  $1 \leq j \leq h+1$ , then because  $a_{i_1,j}, a_{i_2,j}$  and any other  $l-2$  vertices form a  $\mathcal{C}$ -edge,  $c$  is at most  $(l-1)$ -coloring. Suppose  $c(a_{i,j}) = i$  for all  $i, j$ , then  $c$  is a strict  $s$ -coloring, and by the proof of theorem 4.4,  $s, s+1, \dots, l-1 \in S(\mathcal{H})$ . If  $c(a_{1,j}) = c(a_{2,j}) = \dots = c(a_{s,j})$  for all  $1 \leq j \leq h+1$ . Since  $B_{j_1} \cup B_{j_2}$ ,  $1 \leq j_1, j_2 \leq h$ , contains at least one  $\mathcal{D}$ -edge,  $c(a_{1,j_1}) \neq c(a_{1,j_2})$ . Hence,  $c$  is a strict  $h$ -coloring or a strict  $h+1$ -coloring. Let  $c(a_{i,j}) = j$  for all  $i, j$ , then  $c$  is a strict  $(h+1)$ -coloring, let  $c(a_{i,j}) = j$  for  $1 \leq j \leq h$ , and  $c(a_{i,h+1}) = h$ , then  $c$  is a strict  $h$ -coloring. Therefore,  $\mathcal{H}$  has gaps at  $k$ ,  $l \leq k < h$ .  $\square$

**Theorem 4.7** *The minimum number of vertices of a bad  $(l, m)$ -uniform mixed hypergraph is  $s(l-1) + m$ ,  $s = \lceil \frac{m}{2} \rceil$ .*

**Proof.** Let  $\mathcal{H}$  is a bad  $(l, m)$ -uniform mixed hypergraph. By theorem 4.6, the gap is between  $l-1$  and  $h$ . To find the minimum number of vertices, it implies that  $h = l+1$ . Hence, by theorem 4.5, minimum  $n$  is  $sh + m - 2s = s(l+1) + m - 2s = s(l-1) + m$ .  $\square$

Finally, we consider  $r$ -uniform mixed hypergraphs. Since  $l = m = r$ ,  $s = \lceil \frac{m}{2} \rceil = \lceil \frac{r}{2} \rceil \leq r = l$ . Hence, all facts of  $(l, m)$ -uniform can be generalized to  $r$ -uniform.

**Corollary 4.8** *By theorem 4.6, a  $r$ -uniform mixed hypergraph has a gap at  $k$  if and only if  $r \leq k < h$  where  $h$  is as above.*

In the proof of theorem 4.6, we consider  $r$ -uniform mixed hypergraphs, the hypergraph we constructed becomes a  $r$ -uniform bihypergraph. Hence, we have another corollary about  $r$ -uniform bihypergraphs.

**Corollary 4.9** *A  $r$ -uniform bihypergraph has a gap at  $k$  if and only if  $r \leq k < h$ .*

And we also can find the minimum number of vertices of a  $r$ -uniform mixed hypergraph (or a  $r$ -uniform bihypergraph) which has gaps.

**Corollary 4.10** *Let  $\mathcal{H} = (X, \mathcal{C}, \mathcal{D})$  be a  $r$ -uniform mixed hypergraph (or  $r$ -uniform bihypergraph). If  $\mathcal{H}$  has a gap, then the minimum number of vertices is  $|X| = n = s(r-1) + r$  where  $s = \lceil \frac{r}{2} \rceil$ .*