

Table of Contents

Chapter 1	<i>Introduction</i>	1
1.1	Research Background and Motivation	1
1.2	Research Method	2
1.3	Research Objectives	2
1.4	Thesis Organization	2
Chapter 2	<i>Literature Review</i>	4
2.1	Requirements issues	4
2.1.1	Requirements	4
2.1.2	Requirements Engineering.....	4
2.1.3	Requirements Management	7
2.2	CMMI (Capability Maturity Model Integration) and CMM (Capability Maturity Model)	8
2.2.1	CMMI (Capability Maturity Model Integration)	8
2.2.2	REQM in CMMI	8
2.2.3	RD in CMMI	9
2.2.4	The Interaction of RD and REQM in CMMI.....	10
2.2.5	CMM (Capability Maturity Model).....	11
2.3	RUP (Rational Unified Process)	12
2.3.1	REQM in RUP.....	12
2.3.2	UML (Unified Modeling Language).....	15
2.3.3	Other Key Items and Concepts in RUP	15
2.4	RUP and REQM/RD process area in CMMI	17
Chapter 3	<i>The Integrated Requirements Management Framework (IREQM)</i> .	20
3.1	The Framework Description	20
3.1.1	Background Analysis and Requirements Management Planning (RMP)	22
3.1.2	Requirements Development (RD)	23
3.1.3	Requirements Change Management (RCM).....	25
3.2	CMMI Goals and Practices Achieved by IREQM	27
Chapter 4	<i>IREQM Framework Implementation - AutoREQM Prototype</i>	28
4.1	Background Analysis	28
4.2	Requirements Management Planning via RMP	29

4.3 Requirements Development via RD.....	31
4.3.1 Develop Vision.....	31
4.3.2 Understand Stakeholder Needs and Elicit Stakeholder Requests.....	32
4.3.3 Analyze Functions (Features).....	32
4.3.4 Analyze Operational Workflow.....	33
4.3.5 Find, Prioritize and Detail Use Cases.....	37
4.3.6 Analyze Supplementary Specification and Capture Common Vocabulary	40
4.3.7 Validate Requirements	40
4.4 Screen Display of <i>AutoREQM</i> with Internet-Banking Example	40
<i>Chapter 5 CMMI Self-Appraisal</i>	58
<i>Chapter 6 Conclusions and Future Research.....</i>	65
<i>References</i>	67

List of Figures

Figure 1 The Research Process.....	3
Figure 2 Requirements Engineering Process (Loucopoulos and Karakostas, 1995).....	5
Figure 3 Zachman Framework (Zachman, 2003).....	7
Figure 4 The Requirements Workflow in RUP (Rational Software Corporation, 2003).....	14
Figure 5 Activities within Requirements Workflow in RUP and Roles Responsible for Them (Rational Software Corporation, 2003).....	15
Figure 6 IREQM Framework.....	21
Figure 7 Insitutionalized RD Process	23
Figure 8 Insitutionalized RCM Process	25
Figure 9 Manage RD Artifacts.....	34
Figure 10 Manage Requirement Change.....	35
Figure 11 Support Management Change.....	36
Figure 12 <i>AutoREQM</i> Use Case Packages	37
Figure 13 Use Case Diagram Contained in RD Package.....	37
Figure 14 Use Case Diagram Contained in RCM Package.....	38
Figure 15 Use Case Diagram Contained in Management Support Package	38

List of Tables

Table 1 GGs, GPs, SGs and SPs of REQM in CMMI (CMU/SEI, 2002)	8
Table 2 GGs, GPs, SPs and SPs of RD in CMMI (CMU/SEI, 2002)	9
Table 3 Engineering Process Areas in CMMI	11
Table 4 REQM Goals in CMM	12
Table 5 Software Product Engineering Goals in CMM.....	12
Table 6 Requirements Workflow Details in RUP (Rational Software Corporation, 2003).....	14
Table 7 key Requirements Management Items in RUP (Rational Software Corporation, 2003) ..	16
Table 8 The Missing or Incomplete CMM Key Practices Required in RUP	18
Table 9 The Corresponded CMMI Key Practices of REQM and RD	18
Table 10 5W1H Analysis for the IREQM Framework	22
Table 11 5W1H Analysis for the RD Process.....	24
Table 12 5W1H Analysis for the Institutionalized RCM.....	26
Table 13 Traceability Relationships and Complementary Documents	30
Table 14 Traceability Criteria.....	30
Table 15 Requirements Types and Attributes	30
Table 16 Problem Statements	31
Table 17 Stakeholder Descriptions	31
Table 18 Derived Features with Stakeholder Needs in <i>AutoREQM</i>	33
Table 19 Prioritized Use Cases	39
Table 20 Sample NEEDs, FEATs, UCs, and Traceability Relationships	41
Table 21 Sample Requests for Requirements Change	42
Table 22 Characteristics of CMMI Appraisal Method Classes	58
Table 23 Appraisal Checklist for Supporting REQM Process Area in CMMI Level 2	59
Table 24 Appraisal Checklist for Supporting RD Process Area in CMMI Level 3	61