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# Dissociable effects of bottom-up and top-down factors on the processing of unattended fearful faces

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#### **Abstract**

While the role of attention in determining the neural fate of unattended emotional items has been investigated in the past, it remains unclear whether bottom-up and top-down factors have differential effects in shaping responses evoked by such stimuli. To study the effects of bottom-up and top-down factors on the processing of neutral and fearful faces, we employed functional magnetic resonance imaging (fMRI) while participants performed attentional tasks that manipulated these factors. To probe the impact of top-down mechanisms on the processing of face distractors, target letters either had to be found among several distinct nontarget letters (*attentional load* condition) or among identical nontarget letters (*baseline* condition). To probe the impact of bottom-up factors, we decreased the salience of the targets by reducing their size and contrast relative to *baseline* (*salience* condition). Our findings revealed that bottom-up and top-down manipulations produced dissociable effects on amygdala and fusiform gyrus responses to fearful-face distractors when task difficulty was equated. When the *attentional load* of the main task was high, weaker responses were evoked by fearful-face distractors relative to *baseline* during the early trials. By contrast, decreasing target *salience* resulted in increased responses relative to *baseline*. The present findings suggest that responses evoked by unattended fearful faces are modulated by several factors, including attention and stimulus salience.

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Keywords: Attention; Emotion; Perception; Facial expressions; fMRI

## 1. Introduction

During visual perception, it has been proposed that both task-relevant and task-irrelevant objects compete for limited processing resources (Bundesen, 1990; Desimone & Duncan, 1995; Grossberg, 1980). Because the processing capacity of the perceptual system is limited (Broadbent, 1958), selective attention to objects relevant to current behavior impacts on the processing of task-irrelevant distractors. Indeed, a fundamental issue in the study of selective attention concerns the extent to which distractors are processed (Deutsch & Deutsch, 1963; Treisman, 1960). In general, both bottom-up and top-down factors determine the extent of distractor processing (Bundesen, 1990; Desimone & Duncan, 1995; Grossberg, 1980). Bottom-up mechanisms reflect sensory stimulation, such as stimulus salience. Previous studies have demonstrated that if a salient *distractor* is presented in a display, the search time for a *target* will increase relative to

the presence of a nonsalient distractor (Eltiti, Wallace, & Fox, 2005; Mounts, 2005; Theeuwes, 2005; Yantis & Jonides, 1990). The increase of the search time suggests that salience biases the processing in favor of the distractor and thereby impairs target performance. Distractor processing is also determined via top-down processes, such as attention, reflecting the requirements of the "main" task. For example, if the "load" of the main task is high, most resources will be applied towards its processing. As a result, few resources, or possibly none, will be available for the processing of task-irrelevant items outside the focus of attention (Lavie, 1995).

Fearful expressions serve as important social signals, potentially conveying the source of threat-related information. Psychophysiological studies have shown that participants exhibit fast, involuntary responses to threat-related stimuli (Globisch, Hamm, Esteves, & Öhman, 1999; Öhman, Esteves, & Soares, 1995). Because of such biological significance, several studies have suggested that task-irrelevant fearful faces are processed "automatically", largely independent of attention (Anderson, Christoff, Panitz, De Rosa, & Gabrieli,

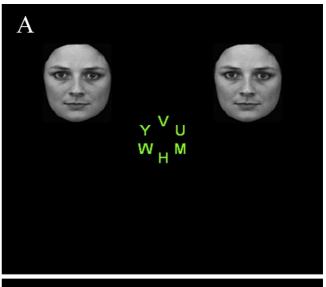
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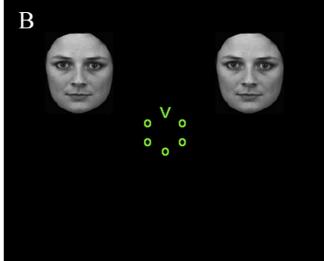
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2003; Vuilleumier, Armony, Driver, & Dolan, 2001; Williams, McGlone, Abbott, & Mattingley, 2005). A competing line of research has suggested that the processing of emotion-laden information in general, and emotional faces in particular, is not immune to attentional manipulations. Findings from these studies suggest that when the main task is demanding, the processing of irrelevant emotion-laden items can be modulated by attention (Erthal et al., 2005; Holmes, Vuilleumier, & Eimer, 2003; Holmes, Winston, & Eimer, 2005; Pessoa, McKenna, Gutierrez, & Ungerleider, 2002; Pessoa, Padmala, & Morland, 2005).

While the role of attention in determining the neural fate of unattended emotional items has been investigated in the past, it remains unclear whether bottom-up and top-down factors have differential effects in shaping responses evoked by such stimuli. To study the effects of these factors on the processing of fearful faces, we employed functional magnetic resonance imaging (fMRI) while participants performed attentional tasks that manipulated these factors. Participants viewed displays containing a central, circular array of letters and two task-irrelevant faces presented peripherally to the left or right of fixation; faces could be either neutral or fearful (Fig. 1). In the present study, we operationally defined bottom-up and top-down manipulations as follows. To probe the impact of top-down mechanisms on the processing of the task-irrelevant faces, we manipulated the load of the main task: a target letter had to be found either among several distinct nontarget letters (attentional load condition; Fig. 1A) or among an array comprised of the same nontarget letter (baseline condition; Fig. 1B). In the past, this target search task has been successfully used to manipulate attentional load (Lavie, 1995, 2005). To probe the impact of bottom-up factors, we manipulated stimulus salience by including a condition in which the letters of the central array were smaller and of reduced contrast (salience condition; Fig. 1C) relative to that in the baseline condition. This size/contrast manipulation also has been widely used to examine salience effects (Wolfe, 1998). Because salience is a relative property that depends on the relationship of one object with respect to other objects in a display (Fecteau & Munoz, 2006), the size/contrast manipulation was expected to affect the relative salience of the task-irrelevant faces (Mounts, 2005; Treisman & Gormican, 1988). Critically, our goal was to manipulate attentional load and stimulus salience (relative to baseline), while matching their task difficulty. We focused our analyses on two key structures that have been extensively probed in prior studies of emotional perception: the amygdala, a key node in the processing of emotion-laden information (Adolphs, Tranel, Damasio, & Damasio, 1994; Breiter et al., 1996; Young et al., 1995), and the fusiform gyrus, a structure important for the processing of faces (Haxby, Hoffman, & Gobbini, 2000; Kanwisher, McDermott, & Chun, 1997; Puce, Allison, Asgari, Gore, & McCarthy, 1996).

Previous studies have shown that, in addition to stimulus valence, amygdala activity is modulated by non-emotional tasks (Drevets & Raichle, 1998). For instance, performing neutral, difficult attentional tasks *per se* has been shown to *decrease* amygdala activation relative to fixation (Pessoa et al., 2005). Thus, in the present study, in addition to being driven by faces, the amygdala could be driven by the letter-detection task itself,





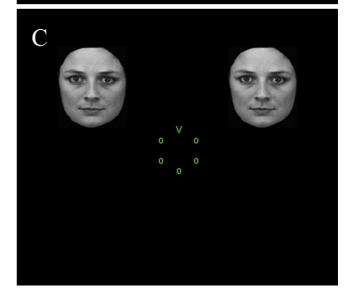


Fig. 1. Sample stimulus displays containing face distractors for the (A) *attentional load*, (B) *baseline*, and (C) *salience* conditions (stimuli not drawn to scale).

making the interpretation of evoked responses problematic. Accordingly, to factor out potential task effects, we employed three additional conditions that were identical to those discussed above, but that did not contain task-irrelevant, peripheral faces. By subtracting out the contribution of the tasks, we thus could isolate the contribution of face distractors to evoked responses.

Amygdala and fusiform gyrus responses are also known to exhibit rapid attenuation to repeatedly presented faces (Breiter et al., 1996; Fischer et al., 2003; Grill-Spector et al., 1999; Phillips et al., 2001; Williams et al., 2004; Wright et al., 2001), suggesting that the time course of the responses is dynamic. In addition, such attenuation has been found to be sensitive to task manipulations (Henson, Shallice, Gorno-Tempini, & Dolan, 2002; Ishai, Pessoa, Bikle, & Ungerleider, 2004). Such findings prompted us to split our experimental trials into *early* and *late* trials allowing us to probe how both valence and task effects depended on *time* (*early* versus *late*).

As described above, our experiment included two experimental conditions that were designed to be comparable in terms of task difficulty, but which differed in terms of the type of task demands. The attentional load condition sought to increase task difficulty (relative to baseline) by taxing processing resources with the search task. The *salience* condition sought to increase task difficulty (relative to baseline) by degrading the sensory stimulus. Critically, because the distractor faces were kept constant across these conditions, our design allowed us to compare the effects of capacity versus sensory demands (Lavie & De Fockert, 2003; Nakayama & Joseph, 1998; Norman & Bobrow, 1975), as imposed by the attentional load and salience conditions, respectively. Such comparison is important because part of the controversy surrounding the question of "automaticity" of amygdala responses has centered on whether or not the reported modulation of these responses (e.g., Pessoa et al., 2002) was due to attention or general task difficulty (Compton, 2003).

In summary, the goal of the present experiment was to investigate how bottom-up and top-down factors affect responses evoked by unattended emotional faces. The key experimental manipulations changed the nature of the relevant tasks in the center of the display without affecting peripheral, task-irrelevant face distractors. We hypothesized that increasing distractor salience (via decreasing target salience) would increase distractor processing, consistent with previous findings (Eltiti et al., 2005; Mounts, 2005; Theeuwes, 2005; Yantis & Jonides, 1990). In contrast, increasing the attentional load of the main task would decrease distractor processing, as suggested by prior work (Lavie, 1995, 2005). More generally, in the present study, we sought to investigate how multiple factors, including attention, stimulus salience, stimulus valence, and time, combine to generate responses in the human amygdala and fusiform gyrus, two key structures involved in the processing of emotional faces.

## 2. Method

# 2.1. Participants

Twenty, right handed participants (9 males; ages 19-29) without past neurological or psychiatric history took part in the study. All had normal or

corrected-to-normal vision and gave informed consent according to procedures approved by the Institute Review Boards of both Brown University and Memorial Hospital of Rhode Island.

#### 2.2. Stimuli and procedure

As shown in Fig. 1, a stimulus display consisted of a target letter (V or N) subtending 0.7° of visual angle horizontally by 0.9° vertically in the baseline and the attentional load conditions. In the salience condition, the size of the target letter was reduced to 90-50% (see below) and its contrast to 35% relative to values in the baseline condition. The target letter appeared randomly but with equal probability in one of the six positions arranged in a circle centered at  $1.5^{\circ}$ from the center of the screen. The other five positions were either occupied by the letter "O" (baseline and salience conditions), or by the five nontarget letters "H, U, M, Y, and W" (attentional load condition). Nontarget letters appeared in any one of the six positions randomly and equally often. Two identical face distractors ( $3^{\circ} \times 4^{\circ}$ ) portraying either fearful or neutral expression were simultaneously presented in the upper right and upper left quadrants of the display. The distance between the faces and fixation was  $5^{\circ}$  from center to center. Face stimuli were obtained form the Ekman series (Ekman & Friesen, 1976), the Karolinska Directed Emotion Faces (Lundqvist, Flykt, & Öhmann, 1998) and a set developed and validated by Ishai at NIMH (Bethesda, USA)(Ishai et al., 2004). Forty instances of identity-matched fearful and neutral faces were adopted. Most of the hair and non-facial contours were excluded from all faces. The same set of 80 faces was used in all three task conditions.

Participants completed 6–8 experimental runs. Each run consisted of six different blocks presented in random order: baseline, attentional load, salience blocks and three additional blocks with corresponding task conditions but displays that did not contain face distractors (no-face conditions). Therefore, our design was a hybrid design consisting of a block structure (task) and an event-related structure (facial expression) within the blocks containing faces. For analysis purposes, our design could be viewed as a 3 (task) ×2 (facial expression) design, in addition to 3 no-face conditions. In summary, a total of nine experimental conditions were employed: baseline with fearful-face distractors [BASE(fear)], baseline with neutral-face distractors [BASE(noface)], attentional load with fearful-face distractors [ATT(fear)], attentional load with neutral-face distractors [ATT(noface)], salience with fearful-face distractors [SAL(fear)], salience with neutral-face distractors [SAL(fear)], salience with neutral-face distractors [SAL(noface)]

Blocks contained a total of 10 trials. Each trial began with a 500 ms fixation cross at the centre of the screen, followed by a stimulus display lasting 100 ms. During all conditions, participants were instructed to maintain fixation centrally and to identify the target letter V or N as quickly and accurately as possible via a button press; they were instructed to ignore the faces in the conditions containing them. A new trial was initiated after a 2 s response window. Before each run, the stimulus size used in the *salience* condition with face distractors was adjusted based on the performance of the *attentional load* condition with faces in the previous run to match the task difficulty between the two conditions (hence the 90–50% size range); stimulus size became larger if accuracy was higher during the *attentional load* condition than during the *salience* condition in the previous run and *vice versa*. In brief, we adjusted the stimulus size in a run-by-run fashion to equate task difficulty across conditions.

#### 2.3. Eye movement monitoring

Eye movements were monitored in 12 participants during scanning using infrared video-oculography (Resonance Technology, Inc., Northridge, CA, USA) integrated with the ViewPoint eye tracker system (Arrington Research, Inc., Scottsdale, AZ, USA). To assess deviations from fixation during each trial, horizontal and vertical eye position were determined for a 200 ms temporal window that started 50 ms prior to display onset and finished 50 ms after the display (thus, the window "bracketed" the 100 ms stimulus display). Eye movements relative to the central fixation that exceeded 1.5° were considered to be a saccade.

#### 2.4. MRI scanning

MR data were collected using a 1.5 T Symphony Magnetom scanner (Siemens Medical Systems, Erlangen, Germany). A scanning session began with the acquisition of a high-resolution MPRAGE anatomical volume (TR = 1900 ms, TE = 4.15 ms, TI = 1100 ms, 1 mm isotropic voxels). Subsequently, a total of 561–688 functional images were obtained for each participant with a TR of 3000 ms and a TE of 38 ms. Each image comprised 34 axial slices with slice thickness of 3.75 mm and in-plane resolution of 3.75 mm  $\times$  3.75 mm.

#### 2.5. FMRI data analysis

Data were analyzed using AFNI tools (Cox, 1996; http://afni.nimh. nih.gov/afni), unless indicated otherwise. The first four functional images were discarded to allow for equilibration effects. The data were slice-time corrected and motion corrected. Functional data were coregistered with the anatomical data and both were normalized to the standard space defined by the Montreal Neurological Institute (MNI) using the BET and FLIRT tools of the FSL package (http://www.fmrib.ox.ac.uk/fsl). The functional data were then spatially smoothed with an 8 mm Gaussian filter (full width at half maximum). For each participant, individual trials were modeled by a canonical hemodynamic response function. Experimental trials of each of the 9 experimental conditions were separated into *early* versus *late* halves in order to investigate time-related activity; thus, a total of 18 regressors of interest were generated to model each event type. Data were then analyzed using the general linear model to obtain parameter estimates of each regressor and to generate random-effects statistical maps resulting from linear contrasts between different event types.

As discussed in the Introduction, to factor out potential task effects, we initially subtracted parameter estimates of the no-face conditions from the corresponding conditions; thus, initially, we computed the following 12 contrast maps: [BASE(fear, early)–BASE(noface, early)], [BASE(netral, late)–BASE(noface, late)], [BASE(neutral, early)–BASE(noface, early)], [BASE(neutral, late)–BASE(noface, late)], [ATT(fear, early)–ATT(noface, early)], [ATT(fear, late)–ATT(noface, late)], [ATT(neutral, early)–ATT(noface, early)], [ATT(neutral, late)–ATT(noface, late)], [SAL(fear, early)–SAL(noface, early)], [SAL(fear, late)–SAL(noface, late)]. Subsequently, group analyses were performed based on these contrast maps with participants treated as a random factor.

In keeping with our *a priori* focus on amygdala and fusiform gyrus, the analyses were mainly focused on these two structures: amygdala (mean MNI coordinates of peak responses across all contrasts reported below: left, x = -20, y = -3, z = -22; right, x = 23, y = -4, z = -21) and the fusiform gyrus (left, x = -34, y = -60, z = -17; right, x = 33, y = -60, z = -16). In addition, given our anatomical focus, results in these two structures were reported when p < 0.01 (uncorrected) and cluster size  $\geq 5$ , although most activation survived stricter thresholds. Note that our focus on the amygdala and fusiform gyrus also helps mitigate the multiple comparisons problem. When inspecting general, task-related activation across the brain, we adopted a threshold of p < 0.001 (uncorrected).

# 3. Results

# 3.1. Eye-movement data

Across all trials, very few saccades occurred during the 200 ms temporal window for the 12 participants for whom eye movements were monitored; on average 4 trials per participant (approximately 1% of the trials). A repeated-measures analysis of variance (ANOVA) revealed no significant difference in the number of saccades as a function of task conditions (baseline, attentional load, salience), the presence of face distractors (face, noface), or their interaction. An additional ANOVA was also conducted for conditions with face distractors [3 tasks (baseline,

attentional load, salience) ×2 facial expressions (fear, neutral)]. No significant main effects or interactions were found.

#### 3.2. FMRI data

# 3.2.1. General task activation in the absence of face distractors

Initially, we probed task-related activations by comparing the *attentional load* and *salience* conditions to the *baseline* condition when no face distractors were presented (trials were collapsed across the *early* and the *late* trials). Relative to *baseline*, the *attentional load* condition evoked increased activity in the superior parietal lobule (SPL) (left, x=-27, y=-62, z=53; right, x=-28, y=-63, z=50), inferior frontal gyrus (left, x=-46, y=9, z=26; right, x=43, y=5, z=26), and frontal eye field (left, x=-30, y=-3, z=57; right, x=27, y=-2, z=53). Relative to the *baseline*, the *salience* condition evoked increased activity in the left SPL only (x=-27, y=-57, z=55).

Next, we examined task effects in the amygdala and the fusiform gyrus. Relative to *baseline*, weaker responses were observed in the bilateral amygdala during the *salience* and the *attentional load* conditions (Fig. 2A and B, left). In addition, weaker responses were evoked in the left amygdala during the *attentional load* condition relative to the *salience* condition (results not shown). For the fusiform gyrus, there was no significant difference between the *baseline* and the *salience* conditions, but stronger responses were observed during the *attentional load* relative to the *baseline* condition (Fig. 2B, right).

A central goal of the present study was to examine distractorrelated activation as a function of task condition. However, as evidenced by the above results, different tasks had differential impact on amygdala and fusiform gyrus responses when face distractors were absent. Accordingly, to account for differential task-related activation, responses during noface conditions were subtracted from the responses in the corresponding conditions with fearful/neutral distractors (see Section 2). For clarity, below, we provide labels for the contrasts involved and use the letter "a" to denote that responses were adjusted based on activity in the corresponding no-face conditions.

# 3.2.2. Time-related activity evoked by fearful and neutral distractors

To probe how distractor-related activity changed across time, we compared *early* relative to *late* responses. For both fearful and neutral face distractors, no time-related differential activation was observed in the amygdala and the fusiform gyrus during the *baseline* condition [BASE<sub>a</sub>(fear, early)  $\approx$ BASE<sub>a</sub>(fear, late) and BASE<sub>a</sub>(neutral, early)  $\approx$ BASE<sub>a</sub>(neutral, late)]. However, during the *salience* condition, greater activation in the right amygdala and the bilateral fusiform gyrus was found during the *early* trials relative to the *late* trials [SAL<sub>a</sub>(fear, early)>SAL<sub>a</sub>(fear, late) and SAL<sub>a</sub>(neutral, early)>SAL<sub>a</sub>(neutral, late); Fig. 3A and B], revealing that responses evoked by fearful and neutral distractors were *attenuated* over time during this condition. On the other

# SAL (noface) < BASE (noface) ATT (noface) < BASE (noface) ATT (noface) > BASE (noface)

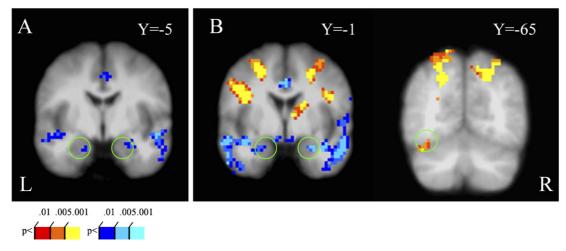


Fig. 2. General task activation in the absence of face distractors. (A) Contrasting responses during the *salience* condition to those during the *baseline* revealed decreased amygdala activity. (B) Contrasting responses during the *attentional load* condition to those during *baseline* revealed decreased amygdala activity (left) but increased left fusiform gyrus activity (right). See Section 2 for contrast abbreviations.

hand, during the *attentional load* condition, weaker activation in the left amygdala and the right fusiform gyrus was found during the *early* trials relative to the *late* trials [ATT<sub>a</sub>(fear, early) < ATT<sub>a</sub>(fear, late) and ATT<sub>a</sub>(neutral, early) < ATT<sub>a</sub>(neutral, late); Fig. 3C and D], revealing that responses evoked by fearful and neutral distractors *increased* over time during this condition.

# 3.2.3. Task effects on responses evoked by fearful and neutral distractors

Next, we investigated how the different task conditions modulated responses to face distractors. To minimize the contribution of time-related effects (see above), we focused our analysis on the *early* trials. Responses evoked by fearful-face distractors were stronger during the *salience* condition relative to

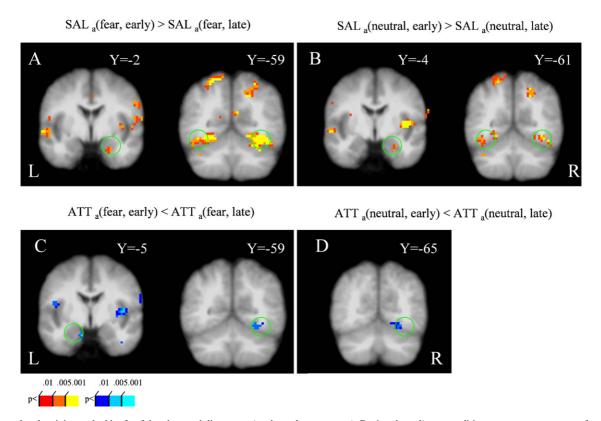


Fig. 3. Time-related activity evoked by fearful and neutral distractors (early vs. late contrasts). During the salience condition, stronger responses to fearful (A) and neutral distractors (B) were observed in both the right amygdala (left) and the bilateral fusiform gyrus (right) during early trials. During the attentional load condition, weaker responses to fearful distractors were observed in both the amygdala and the fusiform gyrus (C) during early trials; weaker responses to neutral distractors were observed in the right fusiform gyrus during early trials (D). See Section 2 for contrast abbreviations.

# Neutral-face distractors Fearful-face distractors SAL <sub>a</sub>(fear, early) > BASE <sub>a</sub>(fear, early) SAL a(neutral, early) > BASE a(neutral, early) D Y = -65ATT a(fear, early) < BASE a(fear, early) ATT a(neutral, early) < BASE a(neutral, early) B E Y = -65Y = 68Y=-2SAL a(neutral, early) > ATT a(neutral, early) $SAL_a(fear, early) > ATT_a(fear, early)$ Y = -65.01 .005.001 .01 .005.001 p<

Fig. 4. Task effects on responses evoked by fearful and neutral distractors during *early* trials. (A–C) Conditions involving fearful-face distractors. (A) Responses evoked by fearful and neutral distractors during the *salience* condition were *stronger* relative to baseline both in the right amygdala (left) and the bilateral fusiform gyrus (right). (B) Responses evoked by fearful distractors during the *attentional load* condition were *weaker* relative to *baseline* both in the left amygdala (left) and the right fusiform gyrus activity (right). (C) Responses evoked by fearful distractors during the *salience* condition were stronger relative to the *attentional load* condition in both the right amygdala (left) and the bilateral fusiform gyrus (right). (D–F). Conditions involving neutral-face distractors exhibited similar activation profiles. See Section 2 for contrast abbreviations.

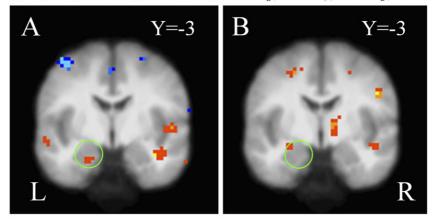
the *baseline* condition in the right amygdala and the bilateral fusiform gyrus [SALa(fear, early)>BASEa(fear, early); Fig. 4A]. On the other hand, responses evoked by fearful-face distractors were actually *weaker* during the *attentional load* condition relative to the *baseline* condition in the left amygdala and the right fusiform gyrus [ATTa(fear, early) < BASEa(fear, early); Fig. 4B]. As expected from the above results, responses evoked during the *salience* condition relative to the *attentional load* condition were stronger in the right amygdala and the bilateral fusiform gyrus [SALa(fear, early) > ATTa(fear, early); Fig. 4C]. Analogous results as described for fearful-face distractors were also observed for neutral-face distractors (Fig. 4D–F). To reiterate, during the *early* trials, responses evoked by both fearful and neutral distractors in the amyg-

dala and the fusiform gyrus were stronger during the *salience* condition than in the *baseline* condition, which in turn, exhibited stronger activity than the *attentional load* condition.

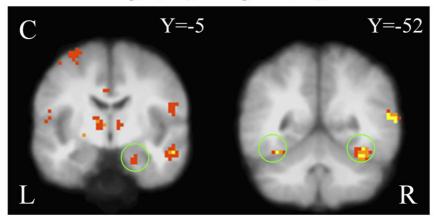
# 3.2.4. Valence effects

We first examined valence effects during the *early* trials by contrasting neutral- and fearful-face conditions. Fearful-face distractors evoked stronger responses than neutral-face distractors in the left amygdala during both the *baseline* condition [BASE<sub>a</sub>(fear, early) > BASE<sub>a</sub>(neutral, early); Fig. 5A] and the *attentional load* condition [ATT<sub>a</sub>(fear, early) > ATT<sub>a</sub>(neutral, early); Fig. 5B]. During the *salience* condition, valence effects were observed in the right amygdala and bilateral fusiform gyrus

BASE  $_a$ (fear, early) > BASE  $_a$ (neutral, early) ATT  $_a$ (fear, early) > ATT  $_a$ (neutral, early)



SAL <sub>a</sub>(fear, early) > SAL <sub>a</sub>(neutral, early)



ATT a(fear, late) > ATT a(neutral, late)

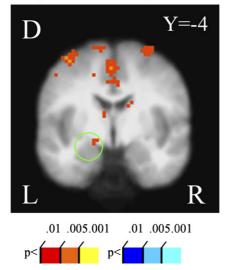
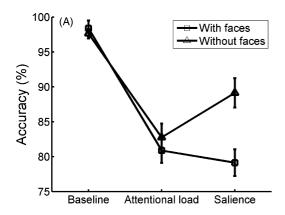


Fig. 5. Valence effects. Stronger responses to fearful-relative to neutral-face distractors were observed in the amygdala during *early* trials for the (A) *baseline* (B) *attentional load*, and (C, left) *salience* conditions; for the latter condition, fusiform gyrus activity was also observed (C, right). During *late* trials, stronger responses to fearful-relative to neutral-face distractors were observed in the left amygdala for the *attentional load* condition (D). See Section 2 for contrast abbreviations.

[SAL<sub>a</sub>(fear, early) > SAL<sub>a</sub>(neutral, early); Fig. 5C]. No significant task by valence interaction was observed during the *early* trials.

Valence effects were also observed during the *late* trials. During the *attentional load* condition, stronger responses

to fearful-face distractors relative to neutral-face distractors were observed in the left amygdala [ATT $_a$ (fear, late) > ATT $_a$ (neutral, late); Fig. 5D]. No significant differences were observed during the *salience* and *baseline* conditions.



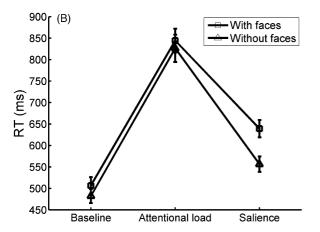


Fig. 6. Mean (A) accuracy and (B) reaction time as a function of task manipulations and the presence of face distractors during *early* trials.

## 3.3. Behavioral performance

# 3.3.1. Main effects of task and face distractors during early trials

Analogous to the analyses performed for the fMRI data, behavioral responses were also split into *early* and *late* trials. Here, we focused our analyses mainly on *early* trials (Fig. 6), although the results were equivalent when all trials were considered together.

For the *early* trials, a two-way repeated-measures ANOVA [3 tasks (baseline, attentional load, salience) ×2 distractor types (face, noface)] was conducted on mean reaction time (RT) and accuracy (fearful- and neutral-face trials were averaged for face distractors; only correct trials were included in the RT analysis; the arcsine transformation was employed on the accuracy data to equalize variance). A significant main effect of task was observed for both RT [F (2,38) = 165.7, p < 0.001] and accuracy [F(2,38) = 62.9, p < 0.001]. Bonferroni-corrected t-tests revealed that RTs were significantly longer (p < 0.001) during the attentional load ( $M = 835.3 \,\mathrm{ms}$ ) than during the salience condition ( $M = 597.6 \,\mathrm{ms}$ ), which, in turn, produced longer RTs (p < 0.001) than the baseline condition (M = 494.3 ms). In terms of accuracy, both the attentional load (M = 81.8% correct) and the *salience* conditions (M = 84.1% correct) were significantly harder (p < 0.001 in both cases) than the baseline condition

(*M* = 98.0% correct). As expected, there were no significant differences in accuracy between the *attentional load* and *salience* conditions, given that the parameters during the *salience* condition were updated to reflect the task difficulty during the *attentional load* condition. Taken together, the *attentional load* and *salience* manipulations produced longer RTs and reduced accuracy relative to the *baseline* condition.

The two-way ANOVA also revealed a main effect of distractor type both in terms of RTs [F(1,19) = 34.7, p < 0.001] and accuracy [F(1,19) = 6.1, p < 0.05]. Mean RTs were longer when face distractors were presented (M = 663.3 ms) than when they were absent (M = 621.5 ms). In addition, accuracy decreased during conditions with face distractors (M = 86.1% correct) compared to conditions without face distractors (M = 89.8% correct). These results indicate that face distractors impaired target performance, revealing the presence of distractor interference effects.

## 3.3.2. Task by distractor interactions during early trials

Significant interactions between task and distractor type were found for RT [F (2,38)=7.7, p<0.01] and accuracy [F(2,38) = 17.8, p < 0.001]. To further assess how distractor interference effects were modulated by task conditions, Bonferroni-corrected *t*-tests were conducted on RT. When comparing face-present versus face-absent conditions, participants responded significantly more slowly to targets in both the salience (p < 0.001) and the baseline conditions (p < 0.01), but no significant difference was observed during the attentional load condition (p=0.9); note the relatively large error bars for the attentional load condition and the relatively small error bars for the baseline condition. In addition, to determine the relative magnitude of distractor effects, RT differences between face-present and face-absent conditions were calculated and submitted to a t-test. Larger distractor interference effects were obtained during the salience condition relative to the baseline condition [t (19) = 4.4, p < 0.001]. In terms of accuracy, Bonferroni-corrected t-tests revealed a significant distractor interference effect during the salience condition only (p < 0.001). Overall, the data revealed that distractors interfered less with target performance during the attentional load condition. By contrast, the salience manipulation actually increased distractor interference.

# 3.3.3. Valence effects during early trials

To probe whether fearful and neutral faces had differential effects on target performance, mean RTs and accuracy were submitted to a 3 (task: *baseline*, *attentional load*, *salience*) by 2 (facial expression: fearful, neutral) repeated-measures ANOVA. No significant main effects of facial expressions or interactions were found during either *early* or *late* trials (all *p*'s > 0.1).

### 3.3.4. Behavioral performance during late trials

The pattern of results during *late* trials closely followed the one observed during *early* trials, except that there was no task by distractor (present/absent) interaction on RT. Follow up *t*-tests revealed that when comparing face-present versus face-absent conditions, participants responded significantly more slowly to targets not only during the *baseline* (p < 0.05) and the *salience* 

(p < 0.01) conditions but also during the *attentional load* condition (p < 0.01). The presence of an interference effect during the attentional load condition for the *late* but not for the *early* trials suggests that participants became better at the search task during the second half of the experiment.

# 4. Discussion

In the present study, we investigated how both bottom-up (stimulus salience) and top-down (attentional load) factors affect the processing of task-irrelevant face distractors, as indexed by responses evoked in the amygdala and the fusiform gyrus. Indeed, bottom-up and top-down manipulations exhibited dissociable effects. When the attentional load of the main task was increased relative to baseline, responses evoked by fearfuland neutral-face distractors decreased during the early trials. By contrast, decreasing target salience (possibly increasing the salience of task-irrelevant face distractors) resulted in increased responses relative to the baseline condition during the early trials. Interestingly, time-related effects also depended on the task. During the salience condition, amygdala and fusiform gyrus responses to distractors decreased over the experimental time course. However, surprisingly, during the attentional load condition, responses increased from early to late trials. Taken together, our findings revealed that the processing of task-irrelevant face stimuli can be modulated by both stimulus salience and attentional load, but that such bottom-up and topdown factors have dissociable effects on distractor processing when the task difficulty of the two conditions is equated. Finally, parallel results were observed for fearful- and neutral-face distractors.

## 4.1. Effectiveness of task manipulations

We manipulated the load of the main task by requiring subjects to perform a challenging (attentional load) or a "pop-out" (baseline) search task. During the baseline condition, RTs were slower when face distractors were present relative to non-face conditions, revealing that face distractors interfered with the task (unless otherwise noted, behavioral effects for early trials are reported). During the attentional load condition, however, no significant differences in RT were observed during the face and no-face conditions, consistent with the idea that reduced processing resources were available to process the task-irrelevant faces during the attentional load condition (Lavie, 1995; Lavie & De Fockert, 2003). Finally, during the salience condition, RTs were slower during face versus no-face trials, again indicating that face distractors interfered with the task. Critically, the interference during the salience condition was greater than during the baseline condition. Because increased distractor salience impairs task performance (Eltiti et al., 2005; Mounts, 2005; Theeuwes, 2005; Yantis & Jonides, 1990), these findings corroborate the notion that our manipulation, indeed, increased the relative salience of the distractors. Overall, our behavioral findings closely agree with a previous behavioral study by Lavie and De Fockert (2003), who reported contrasting effects of sensory and capacity limits during attention tasks.

The impact of the different conditions can also be gauged via the imaging data during the no-face conditions. For instance, the effectiveness of the attentional load manipulation was further evidenced by results showing that, relative to the baseline condition, higher activation was observed in a network of fronto-parietal brain regions that have been linked to important attentional functions (Corbetta & Shulman, 2002; Kastner & Ungerleider, 2000; Pessoa & Ungerleider, 2005). At the same time, the contrast of *salience* versus *baseline* only revealed differential activation in the left SPL, suggesting that the salience condition was not linked to strong capacity-related attentional demands. In the present context, it is also informative that increased responses were evoked in the left fusiform gyrus during the attentional load condition relative to the baseline condition. In line with the involvement of the left fusiform gyrus in letter recognition (Polk et al., 2002), the increased activation may have reflected enhanced processing of the letter array when additional processing resources were allocated to it (Kastner, Pinsk, De Weerd, Desimone, & Ungerleider, 1999).

# 4.2. Isolating the effects of face distractors

A central goal of the present study was to probe the effect of fearful- and neutral-face distractors on the responses evoked in the amygdala and fusiform gyrus. During our tasks, both taskrelevant (letter array) and task-irrelevant (faces) stimuli were present in the display. In addition, in all cases, subjects performed a detection task in which they had to detect a target letter among uniform or non-uniform nontarget letters. Thus, evoked responses may have reflected contributions from the face stimuli, the letter array, in addition to the task itself. For instance, previous studies have shown that amygdala activity is modulated by non-emotional tasks (Drevets & Raichle, 1998), and we have shown that performing neutral, difficult attentional tasks decreases amygdala responses relative to fixation (Pessoa et al., 2005). To factor out the effects of the letter array and the task, in the present study, we employed three conditions that contained only the letter arrays (no-face conditions) and that involved the same tasks that subjects performed when face distractors were present. In this fashion, our design allowed us to isolate the contributions of face distractors to evoked responses. Note that by subtracting out the no-face conditions, we also minimized any potential effects of stimulus differences in the displays across the experimental conditions.

# 4.3. Dissociable task effects: capacity versus sensory demands

The *attentional load* condition was designed to consume resources that would be available to process distractor faces. In designing the *salience* condition, we sought to create a second condition that was equivalent in terms of task difficulty, but which did not impose the same type of capacity demands. Instead, we employed a task that was more difficult because of sensory degradation (Lavie & De Fockert, 2003). Our results revealed that, in fact, the *attentional load* and the *salience* conditions had dissociable effects on distractor processing.

The comparison of RTs during the salience condition with and without faces revealed that the distractors interfered with the main task by slowing down performance. Such interference effect was reduced during the attentional load condition, where differences in RT with and without faces did not reach significance. The neuroimaging data also provided information about the extent of distractor processing and interference effects. During the early trials of the experiment, evoked responses in the amygdala and the fusiform gyrus to both fearful and neutral faces were weaker during the attentional load condition relative to the baseline. The behavioral and fMRI data thus suggest that increasing the resource demands of the main task decreased distractor processing, which, in turn, decreased distractor interference. By contrast, stronger amygdala and fusiform gyrus activity was observed during the salience condition relative to the baseline. In this case, the behavioral and fMRI data suggest enhanced distractor processing, which was associated with increased distractor interference. Overall, on the one hand, the results of the attentional load condition are consistent with previous research in which the extent of distractor processing depends on available processing resources (Lavie, 1995, 2005). On the other hand, the results of the salience condition are compatible with the view that stimulus salience also plays an important role in distractor processing (Eltiti et al., 2005; Mounts, 2005; Theeuwes, 2005; Yantis & Jonides, 1990). In addition, our findings revealed that responses to unattended neutral and fearful faces depend on the type of task manipulation, and not task difficulty per se. Our work thus distinguishes the role of bottom-up factors (salience) from top-down factors (attentional load) in determining the fate of task-irrelevant stimuli.

Although the *attentional load* and *salience* conditions were equated in terms of accuracy, responses were significantly faster during the latter condition. It is thus possible that some of the differential effects that we observed were due to differences in RT. Note, however, that RTs during the *salience* condition were *faster* than during the *attentional load* condition, suggesting that increased distractor processing during the *salience* condition was not due to simple time-on-task effects. In addition, although the *baseline* condition produced the shortest RTs, distractor-related responses were not stronger than during the other conditions. Thus, we suggest that the dissociation in distractor processing during the *attentional load* and *salience* conditions reflected the type of demand imposed by the main task, as suggested above.

As stated above, we observed dissociable effects of the *attentional load* and the *salience* conditions on distractor processing. Nevertheless, it should be noted that we do not view our bottom-up and top-down manipulations as mutually exclusive. For instance, when comparing the *baseline* and *salience* conditions, the same type of singleton search was involved, whereas the size and contrast of the letters was reduced in the *salience* condition. Although we employed this manipulation to probe the role of bottom-up factors, it is possible that this manipulation also affected top-down factors—for instance, the spatial focus of attention may have been narrowed during the *salience* condition relative to *baseline*. The contrasting effects observed during the *attentional load* and *salience* conditions argue, however, that,

if the *salience* condition also affected top-down factors, that such factors did not completely overlap with those manipulated during the *attentional load* condition.

# 4.4. Differential time-related responses

A persisting response to an unchanging stimulus is metabolically expensive and conveys little information. Thus, it would be beneficial to attenuate evoked responses to a persisting stimulus. Indeed, previous findings have shown that amygdala and fusiform gyrus activity rapidly attenuate to repetitions of face stimuli (Breiter et al., 1996; Grill-Spector et al., 1999). In our experiment, response attenuation to face distractors was found during the salience condition. We suggest that such attenuation was directly linked to the robust responses during this condition in both the amygdala and the fusiform gyrus, such that response attenuation was, in fact, a consequence of the increased distractor processing during the salience condition. This interpretation is consistent with a previous study that reported a greater degree of suppression to repetitions of fearful faces, which evoked the strongest responses, relative to the degree of suppression of neutral faces, which evoked weaker responses (Ishai et al., 2004). This line of reasoning would predict that reduced attenuation should be observed when responses are less vigorous, as during the attentional load condition. Our results are partly consistent with this interpretation, as we observed response potentiation when comparing early and late trials of the attentional load condition. Given the presence of an interference effect during late but not during the early trials, one potential explanation for such effect is that, with time, participants became better at the letter-detection task, "releasing" some processing resources, which could then be applied more effectively towards the processing of the face distractors. Nevertheless, additional studies are needed to further clarify the response potentiation that occurred during the attentional load condition.

# 4.5. Valence effects

The role of the amygdala in the processing of fear has been repeatedly demonstrated in both neuropsychological and neuroimaging studies (Adolphs et al., 1994; Breiter et al., 1996; Young et al., 1995). In line with this notion, the present experiment showed that the amygdala exhibited greater evoked responses to fearful distractors relative to neutral distractors during all task conditions, during the early trials. However, during the *late* trials, valence effects persisted during the *attentional* load condition. We suggest that such pattern of results parallels the time-related data reported earlier. Because of the attenuated processing of distractors during the attentional load condition, the valence effect was still observed during the late trials. It is important to note, however, that because fearful and neutral faces differed both in terms of valence and arousal, the present study cannot separate their specific contributions. Accordingly, in the present context, "valence" effects should be viewed more generally as valence/arousal effects.

# 4.6. Effects of task manipulations on face processing

Some studies have supported the view that the perception of fearful faces is independent of attention, such that they would not be subject to limited processing capacity constraints (Anderson et al., 2003; Vuilleumier et al., 2001; Williams et al., 2005). In the present study, we found that the perception of both neutral and fearful faces was modulated by a top-down factor (attentional load). In addition, salience also affected the processing of neutral and fearful faces. Critically, no task by valence interaction was observed, suggesting that, in the present experiment, both neutral and fearful faces were affected by our task manipulations in a similar fashion. Although most of the previous studies supporting attention-independent processing employed moderately challenging "main" tasks, task difficulty may have different effects on distractor processing as shown in the present experiment, as well as previous studies (De Fockert, Rees, Frith, & Lavie, 2001; Lavie & De Fockert, 2003; Lavie, Hirst, De Fockert, & Viding, 2004). Thus, the extent to which tasks adopted in previous studies effectively consumed processing resources is unclear. In addition, in previous studies, we showed that differential responses to fearful versus neutral faces were abolished during very demanding conditions (Pessoa et al., 2002; Pessoa et al., 2005). A second factor that should be considered when interpreting previous studies refers to potential habituation and other related time-dependent effects. As revealed in the present study, different conditions were associated with varying amounts of habituation and/or potentiation of evoked responses.

Finally, it has been suggested that different types of non-emotional tasks modulate amygdala responses, especially in a suppressive manner (Drevets & Raichle, 1998). In the present study, responses evoked in the amygdala during both the *salience* and *attentional load* conditions when no face distractors were present were weaker than during the *baseline* condition, suggesting that both of these relatively difficult task conditions "suppressed" amygdala activation. Thus, it is important to account for such effects, for instance, by including conditions in which only the stimuli of the main task are displayed. Otherwise, activation in the amygdala and other regions may be at least partly confounded by the effects of the main tasks.

In keeping with previous findings, the current research underscores the privileged status of fear processing in the human brain—as evidenced by stronger responses evoked by fearful relative to neutral faces. In addition, our experiment revealed that the processing of unattended fearful faces can be shaped by both bottom-up and top-down factors, just like the processing of unattended neutral faces. Overall, our findings add to our understanding of the processing of emotion-laden information in the brain and suggest that responses evoked by this class of stimuli depend on a wide array of factors that ultimately determine the strength of evoked responses.

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